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<b>(54) Title:</b> ANTI-NEOPLASTIC VIRAL AGENTS COMPRISING TOXIN GENE UNDER CONTROL OF TUMOUR CELL-DERIVED TRANSCRIPTION FACTORS		
<b>(57) Abstract</b>  A viral DNA construct, and virus encoded thereby, is provided having one or more tumour specific transcription factor binding sites in place of one or more wild type transcription factor binding sites operatively positioned in the promoter region which controls expression of early genes responsible for viral nucleic acid replication. Preferred constructs place the tumour specific transcription factor binding sites in operative relation to DNA polymerase, DNA terminal protein and/or DNA binding protein. Compositions and constructs contained therein are provided, particularly for use in therapy. Methods of treating patients for neoplasms are also provided.		

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## ANTI-NEOPLASTIC VIRAL AGENTS COMPRISING TOXIN GENE UNDER CONTROL OF TUMOUR CELL-DERIVED TRANSCRIPTION FACTORS

The present invention provides viral agents that have application in the treatment of neoplasms such as tumours, particularly tumours derived from colon cells, more particularly liver tumours that are metastases of colon cell primary  
5 tumours. Still more particularly are provided replication efficient adenovirus constructs that selectively replicate in response to transcription activators present in tumour cells, these factors being present either exclusively or at elevated levels in tumour cells as compared to other cells, and thus which lead to tumour cell death and cell lysis.

10 By injecting these viral agents locally into the liver it is possible to treat liver metastases, which are a major cause of morbidity in colon cancer patients. Applications beyond this, e.g. to other sites and other tumours, such as colorectal cancers and melanomas, are also provided.

Colon cancer presents with locally advanced or metastatic disease in the  
15 majority of patients. Most patients are left with liver metastases as the only site of disease after resection of the primary tumour. Partial liver resection only cures about 10% of patients, while in patients with multiple metastases in both liver lobes resection is not feasible and loco-regional or systemic treatment with chemotherapy is indicated (Labianca et al., 1997). Systemic chemotherapy with 5-fluorouracil and  
20 leucovorin or irinotecan will produce response rates of only 20% (Cunningham et al., 1998; Stupp et al., 1998).

Locoregional chemotherapy of the liver has been explored for over 15 years. Most liver metastases are supplied with blood by the hepatic artery, so intra-arterial hepatic chemotherapy (IAHC) allows for much higher exposure of the metastases to  
25 cytotoxic drugs. The high extraction rate of normal liver decreases the systemic drug concentration resulting in less toxicity with IAHC having been shown repeatedly to give response rates over 60% (Kemeny et al., 1987; Kemeny et al., 1992; Patt and Mavligit, 1991).

Specific defects in tumour cells make it possible to devise rational strategies  
30 for targeting tumour cells without harming normal cells. With the exception of anti-

angiogenic therapy, this usually requires introduction of exogenous DNA into tumour cells, and the most efficient way to do this is with viruses. For example, US 5,698,443 describes a tumour specific adenovirus that is targeted at prostate cancer cells. This virus utilises a prostate specific enhancer sequence driving the viral E1 genes and the patent suggests its use to express toxins specifically in the target cell.

Viruses which replicate selectively in tumour cells have great potential for gene therapy for cancer. In principle, selectively replicating cytotoxic viruses can spread progressively through a tumour until all of its cells are destroyed. This overcomes the need to infect all tumour cells at the time the virus is injected, which is a major limitation to conventional replacement gene therapy, because in principle virus goes on being produced, lysing cells on release of new virus, until no tumour cells remain. An important fundamental distinction in cancer gene therapy is thus between single hit approaches, using non-replicating viruses, and multiple hit approaches, using replicating viruses.

Single hit approaches work by directly transducing tumour cells with toxic genes; ignoring bystander effects, one virus particle kills one cell. Examples include restoration of tumour suppressor gene expression and conditional expression of toxins using tumour-specific promoters. For single hit approaches the amount of virus injected is an important limiting factor. Multiple hit approaches circumvent this limitation either by provoking an immune reaction against tumour cells, or by using viruses that replicate within the tumour. Since the majority of tumour cells are not killed by the injected virus itself, the amount of virus injected should not be an important factor limiting the therapeutic response.

Classic gene replacement therapy has been performed with retroviruses expressing p53 (Roth et al., 1996). Since p53 can be converted to its oncogenic form by mutations at over 500 sites in the open reading frame (Flaman et al., 1994), retroviral replication will convert at least 1% of the transduced p53 to such undesired mutant form. This means that each patient received around 25 million infectious units of virus expressing mutant p53 (Estreicher and Iggo, 1996).

A more promising approach expressing wild type p53 using an adenovirus, Ad-CMV-p53, has been demonstrated clinically in head and neck cancer and is currently under investigation in lung, colon and liver cancer (Clayman et al., 1998). Adenoviruses are relatively stable, can be produced at high titres, and can infect both  
5 quiescent and dividing cells of many different types. Overall, Ad-CMV-p53 appears exceptionally non-toxic but probably ineffective as a single agent; hence, there is a place for more aggressive second generation viruses.

Further target specific defects are mutations of p16, cdk4, cyclin D or Rb (Bartek et al., 1997) in the retinoblastoma pathway which cause loss of G1/S control  
10 and essentially all tumours have these. The only significant exception is colon cancer, where mutations in the Rb pathway itself are rare. The net result of these defects is increased E2F activity, which means that tumours can be selectively targeted by viruses expressing toxic genes from E2F-regulated promoters. This has been demonstrated using an adenovirus expressing the HSV thymidine kinase gene from  
15 such a promoter (Parr et al., 1997); cells containing Rb-pathway mutations express tk and can be killed by ganciclovir. Such an approach relies on an increase in the activity of specific transcription factors in tumour cells.

The rational basis for tumour targeting is better understood for non-replicating E2F-targeting viruses than it is for p53, but both are still single hit approaches and it  
20 is very difficult to see how they can ever be used for more than treatment of local disease. The tumour burden in late stage disease is around  $10^{12}$  cells, so while at an effective multiplicity of infection of one treatment would be feasible, in practice biodistribution and receptor problems mean that many orders of magnitude higher multiplicities are required.

25 One elegant way to circumvent this limitation is to recruit the immune system to kill the tumour cells. The role of the gene therapy virus is simply to provoke or reinforce the immune response. There is abundant evidence that tumours express new antigens, but in cancer patients the immune system has clearly failed to prevent tumour formation. Many currently attempted techniques target single antigens, eg  
30 production of cytotoxic T cells against MAGE antigens in melanoma, but the goal for

therapy must be to induce a simultaneous response against multiple different antigens, because genetic instability in tumours means targeting of single antigens is unlikely to produce lasting responses because the number of tumour cells exceeds the mutation rate. Hence acquired resistance to immunotherapy is common.

5           An attractive solution to the single hit problem is to produce virus within the tumour. This can be achieved by injecting retroviral producer cell lines into the tumour bed, a strategy currently being tested in a clinical trial for glioblastoma (reviewed by Roth and Cristiano, 1997). This is an elegant but limited approach as it relies on immune privilege in the CNS to avoid immediate rejection of the grafted  
10 cells, and tumour targeting depends on the fact that retroviruses do not infect non-dividing normal brain cells. It falls short of the goal of tumour cell-specific viral replication because the viruses produced are not themselves replication competent and virus production is dependent on survival of the packaging cells rather than the presence of tumour cells.

15           The prototype tumour selective virus is a defective adenovirus lacking the E1B 55K gene (dl 1520/ONYX 015, Bischoff et al., 1996). In normal adenoviruses 55K inactivates p53, hence it should not be required in cells where p53 is mutant. In practice, many cells containing wild type p53 are killed by the virus (Heise et al., 1997). The present inventors have tested this in H1299 p53-null lung carcinoma cells  
20 containing wild type p53 under a tetracycline-regulated promoter and found that dl 1520 replicates as well in the presence as in the absence of wild type p53. Besides targeting p53, E1B 55K is required for selective viral RNA export (Shenk, 1996) and it is not immediately obvious how loss of p53 could substitute for this function. At present there is no convincing evidence that dl 1520 targets p53 defects (Goodrum  
25 1997, Goodrum 1998, Hall 1998, Rothman 1998, Turnell 1999).

          As with p53-expressing viruses, combination therapy with chemotherapy and dl 1520 gives better results both *in vitro* and in xenografts (Heise et al., 1997). In principle, the virus should undergo multiple rounds of replication until there are no tumour cells remaining and since each infected cell produces  $10^3$  to  $10^4$  new virus  
30 particles, the amount of input virus should not be limiting. In practice, the required

amount of dl 1520 virus injected is comparable for therapy with Ad-CMV-p53. This means that the virus is not performing as expected for a replicating virus with the reasons for this again probably quite complex. Adenoviruses normally produce superficial mucosal infections which are spread by droplets containing infected cells.

5 Infected cells retain progeny virus. Lack of effective virus release from lysed cells will militate against the production of deep, spreading infection, which is the goal if virus is to penetrate to all parts of the tumour.

Rational targeting of E2F defects is complicated by the fact that as part of its life cycle the adenovirus already produces proteins (E1A and E4 orf 6/7) which target

10 E2F. Since E1A and orf 6/7 are multifunctional proteins the effect of E1A and orf 6/7 mutations is complex and unpredictable.

In addition to E2F and p53, there are four transcription factors whose activity is known to increase in tumours. They are Tcf4, RBPJ $\kappa$  and Gli-1, representing the endpoints of the wnt, notch and hedgehog signal transduction pathways (Dahmane et

15 al., 1997; Jarriault et al., 1995; van de Wetering et al., 1997) and HIF1 $\alpha$ , which is stabilised by mutations in the Von Hippel Lindau tumour suppressor gene (Maxwell et al 1999). Mutations in APC or  $\beta$ -catenin are universal defects in colon cancer (Korinek et al., 1997; Morin et al., 1997); but they also occur at lower frequency in other tumours, such as melanoma (Rubinfeld et al., 1997). Such mutations lead to

20 increased Tcf activity in affected cells. The hedgehog pathway is activated by mutations in the patched and smoothened proteins in basal cell cancer (Stone et al., 1996; Xie et al., 1998). Notch mutations occur in some leukaemias (Ellisen et al., 1991). Telomerase activation is one of the hallmarks of cancer (Hanahan D. and Weinberg RA. The hallmarks of cancer. Cell. 100, 57-70, 2000) and results from

25 increased activity of the telomerase promoter, although the mechanism is unknown. According to Cong YS et al (1999, HMG 8, 137-42) the elements responsible for promoter activity are contained within a region extending from 330 bp upstream of the ATG to the second exon of the gene and thus this sequence is a further suitable promoter sequence for use in the viral constructs and viruses of the invention.



The present inventors have now designed and produced viral DNA constructs, and replicating viruses encoded thereby, preferably in the form of recombinant viral constructs and viruses, which rationally target known causal oncogenic transcription defects in tumours by using these to control transcription of one or more early viral genes encoding for proteins which are mechanistically directly involved in viral nucleic acid replication (for examples of such genes see eg. DePamphilis, ML, Concepts in Eukaryotic DNA Replication pp 481-484 and 488-491, 1999 Cold Spring Harbor Laboratory Press, New York which is incorporated herein by reference). Preferably these viral genes are selected from the group consisting of polymerase, primase, nuclease, helicase, ligase, terminal protein and nucleic acid binding protein genes. Preferred enzymatic products of five of these genes are classified in the following Enzyme Commission classifications: polymerase (EC 2.7.7.7), primase (EC 2.7.7.6), nuclease (EC 3.1.11-25), helicase (EC 3.6.1.3) and ligase (EC 6.5.1.1-2). These classifications may vary, for example, in parvoviruses, eg. Adenoassociatedviruses (AAV), they include NS1/Rep proteins, which have nuclease and helicase activities essential for replication

In the preferred constructs and viruses produced by the inventors these genes are particularly the viral DNA polymerase, viral DNA terminal protein and/or viral DNA binding protein genes. Preferred viruses are those having E1, E2 and E3 viral transcription units, such as adenoviruses. It is particularly preferred that at least the E2 transcription unit of the virus is altered such that it is made responsive to factors that are present at increased levels or increased activity, or are only present in, a target tumour cell. Rittner et al (J. Virol (1997) p 3307-3311) teach that it is not possible to manipulate the E2 promoters close to the cap sites because of the overlap with the major late open reading frame L4. The present inventors however find that this manipulation is indeed possible with advantageous results.

It has thus been determined that by controlling nucleic acid replication capability, rather than factors such as RNA export capability or toxicity due to insertion of recombinant genes, the inventors can provide selectivity of cytotoxic effect to tumour cells.

Most importantly and advantageously, the present inventors have made possible to target tumour cells with a virus encoding only wild type viral proteins, whose expression is specifically regulated by transcription factors preferentially or exclusively activated in tumour cells. Preferred virus encodes a full set of wild type proteins. Such virus can be used to better effect than prior art viral agents which have relied on mutation of viral proteins or targeting of cells of a particular tissue origin, eg. prostate.

Thus in a first aspect of the present invention there is provided a viral DNA construct encoding for a virus that is capable of replication in a human or animal tumour cell type and causing tumour cells of that type to die characterised in that the construct comprises one or more selected transcription factor binding sites together with, and operatively positioned such as to promote expression of, open reading frames encoding early viral proteins, the protein products of those reading frames being mechanistically directly involved in viral construct nucleic acid replication wherein the selected transcription factor binding sites are for a transcription factor the level or activity of which is increased in a human or animal tumour cell relative to that of a normal human or animal cell of the same type.

Preferred constructs of the invention have a nucleic acid sequence corresponding to that of a wild type virus sequence characterised in that it has one or more wild type transcription factor binding sites replaced by one or more selected transcription factor binding sites, these sites being operatively positioned in the promoter region which controls expression of early genes such as to promote expression of the open reading frame of the gene, the protein products of those genes being mechanistically directly involved in viral nucleic acid replication

wherein the selected transcription factor binding sites are for a transcription factor the level or activity of which is increased in a human or animal tumour cell relative to that of a normal human or animal cell of the same type.

Preferably the viral DNA construct is characterised in that the sites which replace the wild type transcription factor sites controlling expression of said early

genes are for a transcription factor whose activity or level is specifically increased by causal oncogenic mutations.

While the controlled open reading frames or genes may be one or more of the viral polymerase, primase, nuclease, helicase and ligase, preferably they are one or more of the DNA polymerase, DNA terminal protein and/or DNA binding protein. More preferably the construct or virus is such that it has E1, E2 and E3 regions and the tumour specific transcription factor binding site replaces one or more wild type E2 transcription factor binding sites.

Preferably the viral DNA construct is characterised in that it encodes a functional viral RNA export capacity. For adenovirus this is encoded in the E1 and E4 regions, particularly the E1B 55K and E4 orf 6 genes. Thus preferably the encoded virus is of wild type with respect to expression of these genes in tumour cells. Most preferably the E1B 55K and E4 orf 6 open reading frames are functional and/or intact where present in the corresponding wild type virus.

It will be realised by those skilled in the art that any virus which is potentially cytotoxic to tumour cells may be employed in producing viral constructs of the present invention. Particularly examples may include adenovirus, lentivirus, polyoma virus, vaccinia virus, herpesvirus and parvovirus. Preferably the virus is an adenovirus, more preferably an adenovirus that is of high specificity for a target tumour cell type, eg. for a colon tumour type.

Preferred colon tumour specific adenoviruses are encoded by viral DNA constructs corresponding to the DNA sequence of Ad5 or one or more of the enteric adenoviruses Ad40 and Ad41 modified as described above. Ad40 and Ad41, which are available from ATCC, are selective for colon cells and one important difference to Ad5 is that there is an additional fibre protein. The fibre protein binds to the cell surface receptor, called the coxsackie-adeno receptor or CAR for Ad5. Colon cells have less CAR than lung cells which Ad5 is adapted to infect. Ad40 and Ad41 have two fibre proteins, with the possibility being that they may use two different receptors. The expected form of resistance to virus therapy is loss of the receptor, which obviously prevents infection. Genetic instability in tumours means this will

happen at some reasonable frequency; about 1 in 100 million cells, a mutation rate of 1 in  $10^8$ . If you have to delete two receptors you multiply the probabilities; ie. loss of both will occur in 1 in  $10^{16}$  cells. A tumour contains between  $10^9$  and  $10^{12}$  cells. Hence resistance is less likely to develop if a virus uses more than one receptor. One  
5 fibre protein in Ad40 and 41 uses CAR whilst the receptor used by the other is as yet unknown.

Advantageously the use of the constructs of the invention, particularly in the form of viruses encoded thereby, to treat liver metastasis is relatively non-toxic compared to chemotherapy, providing good spread of virus within the liver aided by  
10 effective replication.

Preferred viral constructs of the invention are derived from adenovirus or parvovirus genomic DNA, more preferably adenovirus genomic DNA, and are mutated such that transcription of essential viral genes encoded by the E2 viral transcription unit is made dependent on the presence of oncogenic mutations in  
15 tumour cells. Preferably only cells containing these oncogenic mutations can activate transcription of the viral E2 genes. Since the E2 unit encodes the viral DNA polymerase, DNA terminal protein and DNA binding protein, the virus can only replicate in tumour cells. It is preferred that the E2 early promoter transcription factor binding site is replaced by the tumour cell specific transcription factor binding site.

Preferred tumour specific transcription factor binding sites that are used in place of wild type sites are those described above as Tcf-4, HIF1alpha, RBPJk and Gli-1 sites, and a fragment of the telomerase promoter conferring tumour-specific transcription. A most preferred transcription factor binding site is that which binds Tcf-4, such as described by Vogelstein et al in US 5,851,775 and is responsive to the  
20 heterodimeric  $\beta$ -catenin/Tcf-4 transcription factor. As such the transcription factor binding site increases transcription of genes in response to increased  $\beta$ -catenin levels caused by APC or  $\beta$ -catenin mutations. The telomerase promoter is described by Wu KJ. et al (1999, Nat Genet 21, 220-4) and Cong YS. et al (1999 HumMol Genet 8, 137-42). A further preferred binding site is that of HIF1alpha, as described by  
25 Maxwell PH. et al, (1999 Nature 399, 271-5). One may use a HIF1alpha-regulated  
30

virus to target the hypoxic regions of tumours, involving no mutation of the pathway as this is the normal physiological response to hypoxia, or the same virus may be used to target cells with VHL mutations either in the familial VHL cancer syndrome, or in sporadic renal cell carcinomas, which also have VHL mutations. A retrovirus using  
5 the HIF promoter to target hypoxia in ischemia has already been described by Boast K. et al (1999 Hum Gene Ther 10, 2197-208).

Particularly the inventors have now provided viral DNA constructs, and viruses encoded thereby, which contain the Tcf transcription factor binding sites referred to above in operational relationship with the early gene open reading frames  
10 described above, particularly in place of wild type transcription factor binding sites in the E2 promoter and shown that these are selective for tumour cells containing oncogenic APC and  $\beta$ -catenin mutations. Tcf-4 and its heterodimer bind to a site designated Tcf herein. Preferred such replacement sites are single or multiples of the Tcf binding sequence, eg. containing 2 to 20, more preferably 2 to 6, most  
15 conveniently, 2, 3 or 4 Tcf sites.

Particular Tcf sites are of consensus sequence (A/T)(A/T)CAA(A/T)GG, see Roose, J., and Clevers, H. (1999 Biochim Biophys Acta 1424, M23-37), but are more preferably as shown in the examples herein.

More preferred viral constructs and viruses of the invention are those having  
20 E3 domains and are characterised in that they have mutations to one or more residues in the NF1, NF $\kappa$ B, AP1 and/or ATF regions of the E3 promoter, more preferably those mutations which reduce E2 gene transcription caused by E3 promoter activity. The present inventors have particularly provided silent mutations, these being such as not to alter the predicted protein sequence of any viral protein, which alter the activity  
25 of key viral promoters.

NF $\kappa$ B is strongly induced in regenerating liver cells, ie. hepatocytes (see Brenner et al J. Clin. Invest. 101 p802-811). Liver regeneration to fill the space vacated by the tumour is likely to occur following successful treatment of metastases. In addition, if one wishes to treat hepatoma, which arise on a background of dividing  
30 normal liver cells, then destroying the NF $\kappa$ B site is potentially advantageous.

In a preferred embodiment of the first aspect of the present invention the inventors have replaced a short region in the E2 early promoter, which is not overlapped by coding sequence, with multiple Tcf binding sites, more preferably 3 or 4 such sites, and most preferably 4 sites. One resulting preferred viral construct and encoded virus are referred to herein as Ad-Tcf3, having 3 such sites, and the virus  
5 expresses E2 gene products and replicates better in colon than in lung tumour cells (see Examples). This shows that mutations of the type described can modify the activity of the E2 promoter in the desired way without untoward effects on other aspects of the viral life cycle. This is not obvious *a priori* because, as well as  
10 encoding the E2 promoter, this region is transcribed and retained in the 5'-untranslated region of the pVIII protein RNA, it is transcribed but spliced out of the L5 late RNA and it forms part of the 3'-untranslated region of the 33k protein RNA.

Although Ad-Tcf3 replicates better in colon than lung tumour cells, the difference is only around ten-fold. LGC, a virus of the invention combining the E2  
15 mutations in Ad-Tcf3 with an E1B 55K deletion, shows around 1000-fold selectivity for colon cancer cells. This demonstrates that E2 promoter activity can be made limiting for viral replication, because the identical virus with the normal E2 promoter (LGM) shows no specificity for colon cells. For a colon-targeting strategy this is an important result because it means a colon-specific virus can be made by titrating the  
20 E2 promoter activity.

The probable explanation for the selectivity of LGC is that the E1B 55k protein is required for nuclear export of late viral RNAs, including the E2 DBP late RNA, and that the E2 RNA export defect in E1B 55K-deficient viruses can be overcome by increasing E2 RNA production by inserting Tcf sites in place of the  
25 normal transcription factor binding sites in the E2 promoter.

One method for increasing the specificity of the AdTcf3, and similar 3xTcf driven E2 viruses of the invention for colon tumours is to reduce its E2 promoter activity in non-colon cells. One possible way to do this is to alter the number of Tcf sites. Reduction in the number of Tcf sites to two could reduce non-specific leakiness,  
30 but since Tcf promoters are actively repressed in non-colon cells by groucho (Fisher

and Caudy, 1998) and acetylation (Waltzer 1998) it is more likely that increasing the sites will give a more tightly regulated promoter as the more sites there are the more repressor will be bound in non-colon cells.

5 E1A normally activates the E2 promoter through the ATF site. In the absence of such targeting E1A represses promoters, eg. by chelating p300/CBP. Since the ATF site is deleted in the Tcf-mutant E2 promoter, E1A produced by the virus should reduce general leakiness of the mutant E2 promoter in all cell types. The E3 promoter is back-to-back with the E2 promoter and the distinction between them is defined but functionally arbitrary. Hence further reduction of the activity of the mutant E2  
10 promoter is possible by modifying or deleting transcription factor binding sites in the E3-promoter. Since the E3 promoter lies in coding sequence it cannot just be deleted. Instead the inventors have provided up to 16 silent substitutions changing critical residues in known NF $\kappa$ B, AP1 and ATF sites (Hurst and Jones, 1987, *Genes Dev* 1, 1132-46, incorporated herein by reference).

15 Further viral constructs of the present invention may be provided by modifying the E2-late promoter of adenoviruses. The E2-early promoter controls transcription of DNA polymerase (pol), DNA binding protein (DBP) and preterminal protein (pTP). By mutating the E2 late promoter it is possible to have a similar effect, ie. at least in part, to the E1B deletion because E1B deletion reduces export of DBP  
20 RNA expressed from the E2 late promoter. DBP is required stoichiometrically for DNA replication, so reducing DBP production in normal cells is desirable. Since the E2 late promoter lies in 100k protein coding sequence it cannot just be deleted. Instead the inventors have determined that it can be inactivated with silent mutations changing critical residues in known transcription factor binding sites.

25 Particular transcription factor binding sites in the E2 late promoter were identified by DNase I footprinting (marked I-IV in Figure 4 herein; Goding et al, 1987, *NAR* 15, 7761-7780). The most important is a CCAAT box lying in footprint II. Mutation of this CCAAT box reduces E2 late promoter activity 100-fold in CAT assays (Bhat et al, 1987, *EMBO J*, 6, 2045-2052). One such mutation changes the  
30 marked CCAAT box sequence GAC CAA TCC to GAT CAG TCC. (see Figure 4

below). This is designed to abolish binding of CCAAT box binding factors without changing the 100k protein sequence. Additional silent mutations in the other footprints can be used to reduce activity further

5 An alternative or additional mutation possible is to regulate expression of E1B transcription by mutating the E1B promoter. This has been shown to reduce virus replication using a virus in which a prostate-specific promoter was used to regulate E1B transcription (Yu, D. C., et al 1999 Cancer Research 59, 1498-504). A further advantage of regulating E1B 55K expression in a tumour-specific manner would be that the risk of inflammatory damage to normal tissue would be reduced (Ginsberg, H. S., et al 199 PNAS 96, 10409-11). The inventors have produced viruses with Tcf sites  
10 replacing the E1B promoter Sp1 site to test this proposition.

Further embodiments include the following possible modifications. To further restrict replication one can insert further tumour specific, eg. Tcf, sites in the E1A promoter. To achieve regulation by inserting short oligonucleotides, one must delete  
15 the existing regulatory sequences in the E1A promoter. This requires simultaneous mutation of both inverted terminal repeats and transfer of the packaging signal elsewhere, eg. to the E4 region. In contrast with, for example, the Calydon viruses, the design of the present inventors viruses means that, despite retaining a full complement of adenoviral genes, spare packaging capacity is available, which can be used to  
20 express conditional toxins, such as the prodrug-activating enzyme HSV thymidine kinase (tk). This could be expressed for example from the E3 promoter, whose activity is regulated in some of the viruses, to provide an additional level of tumour targeting. Alternatively, it could be expressed from a constitutive promoter to act as a safety feature, since ganciclovir would then be able to kill the virus. Constitutive tk  
25 expression in an E1B-deficient virus also increases the tumour killing effect, albeit at the expense of replication (Wildner, O., et al 1999 Gene Therapy 6, 57-62). An alternative prodrug-activating enzyme to express would be cytosine deaminase (Crystal, R. G., et al 1997 Hum Gene Ther 8, 985-1001), which converts 5FC to 5FU. This has advantage because 5FU is one of the few drugs active on liver metastases,  
30 the intended therapeutic target, but produces biliary sclerosis in some patients.



The amino-terminus of E1A contains a region of E1A that binds p300, a histone acetylase which functions as a general transcription factor. One way E1A activates genes is by bringing p300 to the promoter. To do this E1A binds transcription factors like ATF. Hence E1A activates promoters that contain ATF sites.

5 Virus vMB13 herein retains the ATF site in the E3 promoter providing advantage in this respect. The problem is that if a promoter does not have an ATF site, E1A will repress it by binding p300. This is what happens with p53, for example: E1A blocks p53-dependent transcription in a manner that requires the p300 binding site in E1A. Tcf repression by E1A is a possibility in some cell lines, so mutation of the E1A

10 p300-binding site may be preferred for such treatment.

The present inventors see a difference between vMB13 and vMB14 in HCT116 cells, where the only difference between the two viruses is in the ATF site in the E3 promoter. Thus mutation of the E1A p300-binding site in vMB14 might be advantageous. Alternatively, the difference could be due to direct activation of the

15 ATF site because Xu L et al (2000, Genes Dev 14, 585-595) report that ATF/CREB sites can be activated by wnt signals, although the mechanism is unknown.

Having produced a virus with one or more levels of regulation to prevent or terminate replication in normal cells, it is further preferred and advantageous to improve the efficiency of infection at the level of receptor binding. The normal

20 cellular receptor for adenovirus, CAR, is poorly expressed on some colon tumour cells. Addition of a number of lysine residues, eg 15 to 25, more preferably about 20, to the end of the adeno fibre protein (the natural CAR ligand) allows the virus to use heparin sulphate glycoproteins as receptor, resulting in more efficient infection of a much wider range of cells. This has been shown to increase the cytopathic effect and

25 xenograft cure rate of E1B-deficient viruses (Shinoura, H., et al 1999 Cancer Res 59, 3411-3416 incorporated herein by reference).

A n alternative strategy is to incorporate the cDNA encoding for Ad40 and/or Ad41 fibres into the construct of the invention as described above. The EMBL and Genbank databases list scuh sequences and they are further described in Kidd et al

30 Virology (1989) 172(1), 134-144; Pieniazek et al Nucleic Acids Res. (1989) Nov 25

;17-20, 9474; Davison et al J. Mol. Biol (1993) 234(4) 1308-16; Kidd et al Virology (1990) 179(1) p139-150; all of which are incorporated herein by reference.

In a second aspect of the invention there is provided the viral DNA construct of the invention, particularly in the form of a virus encoded thereby, for use in  
5 therapy, particularly in therapy of patients having neoplasms, eg. malignant tumours, particularly colorectal tumours and most particularly colorectal metastases. Most preferably the therapy is for liver tumours that are metastases of colorectal tumours.

In a third aspect there is provided the use of a viral DNA construct of the invention, particularly in the form of a virus encoded thereby, in the manufacture of a  
10 medicament for the treatment of neoplasms, eg. malignant tumours, particularly colorectal tumours and most particularly colorectal metastases. Most preferably the treatment is for liver tumours that are metastases of colorectal tumours.

In a fourth aspect of the invention there are provided compositions comprising the viral DNA construct of the invention, particularly in the form of a virus encoded  
15 thereby, together with a physiologically acceptable carrier. Such carrier is typically sterile and pyrogen free and thus the composition is sterile and pyrogen free with the exception of the presence of the viral construct component or its encoded virus. Typically the carrier will be a physiologically acceptable saline.

In a fifth aspect of the invention there is provided a method of manufacture of  
20 the viral DNA construct of the invention, particularly in the form of a virus encoded thereby comprising transforming a viral genomic DNA, particularly of an adenovirus, having wild type transcription factor binding sites, particularly as defined for the first aspect, controlling transcription of genes the protein products of which are directly mechanistically involved in viral nucleic acid replication, such as to operationally  
25 replace these sites by tumour specific transcription factor binding sites, particularly replacing them by Tcf transcription factor binding sites. Operational replacement may involve partial or complete deletion of the wild type site. Preferably the transformation inserts two or more, more preferably 3 or 4, Tcf-4 transcription factor binding sites. More preferably the transformation introduces additional mutations to  
30 one or more residues in the NF1, NFκB, AP1 and/or ATF binding sites in the E3

promoter region of the viral genome. Such mutations should preferably eliminate interference with E2 activity by E3 and reduce expression of E2 promoter-driven genes in normal cells and non-colon cells. Reciprocally, it preferably replaces normal regulation of E3 with regulation by Tcf bound to the nearby E2 promoter.

5           Traditional methods for modifying adenovirus require in vivo reconstitution of the viral genome by homologous recombination, followed by multiple rounds of plaque purification. The reason for this is the difficulty of manipulating the 36kb adenovirus genome using traditional cloning techniques. Newer approaches have been developed which circumvent this problem, particularly for E1-replacement vectors.  
10   The Transgene and Vogelstein groups use gap repair in bacteria to modify the virus (Chartier et al., 1996; He et al., 1998). This requires the construction of large vectors which are specific for each region to be modified. Since these vectors are available for E1-replacement, these approaches are very attractive for construction of simple adenoviral expression vectors. Ketner developed a yeast-based system where the  
15   adenoviral genome is cloned in a YAC and modified by two step gene replacement (Ketner et al., 1994). The advantage of the YAC approach is that only very small pieces of viral DNA need ever be manipulated using conventional recombinant DNA techniques. Conveniently, a few hundred base pairs on either side of the region to be modified are provided and on one side there should be a unique restriction site, but  
20   since the plasmid is very small this is not a problem. The disadvantage of the Ketner approach is that the yield of YAC DNA is low.

          The present inventors have combined the bacterial and yeast approaches. Specifically, they clone the viral genome by gap repair in a circular YAC/BAC in yeast, modify it by two step gene replacement, then transfer it to bacteria for  
25   production of large amounts of viral genomic DNA. The latter step is useful because it permits direct sequencing of the modified genome before it is converted into virus, and the efficiency of virus production is high because large amounts of genomic DNA are available. They use a BAC origin to avoid rearrangement of the viral genome in bacteria. Although this approach has more steps, it combines all of the advantages and  
30   none of the disadvantages of the pure bacterial or yeast techniques.

Although it can be used to make E1-replacement viruses, and the inventors have constructed YAC/BACs allowing cycloheximide selection of desired recombinants in the yeast excision step to simplify this task, the main strength of the approach is that it allows introduction of mutations at will throughout the viral genome. Further details of the YAC/BAC are provided by the inventors as their contribution to Gagnebin et al (1999) Gene Therapy 6, 1742-1750) which is incorporated herein by reference. Sequential modification at multiple different sites is also possible without having to handle large DNA intermediates in vitro.

The adenovirus strain to be mutated using the method of the invention is preferably a wild type adenovirus. Conveniently adenovirus 5 (Ad 5) is used, as is available from ATCC as VR5. The viral genome is preferably completely wild type outside the regions modified by the method, but may be used to deliver tumour specific toxic heterologous genes, eg. p53 or genes encoding prodrug-activating enzymes such as thymidine kinase which allows cell destruction by ganciclovir. However, the method is also conveniently applied using viral genomic DNA from adenovirus types with improved tissue tropisms (eg. Ad40 and Ad41).

In a sixth aspect of the present invention there is provided a method for treating a patient suffering from neoplasms wherein a viral DNA construct of the invention, particularly in the form of a virus encoded thereby, is caused to infect tissues of the patient, including or restricted to those of the neoplasm, and allowed to replicate such that neoplasm cells are caused to be killed.

The present invention further attempts to improve current intra-arterial hepatic chemotherapy by prior administration of a colon-targeting replicating adenovirus. DNA damaging and antimetabolic chemotherapy is known to sensitise tumour cells to another replicating adenovirus in animal models (Heise et al., 1997). For example, during the first cycle the present recombinant adenovirus can be administered alone, in order to determine toxicity and safety. For the second and subsequent cycles recombinant adenovirus can be administered with concomitant chemotherapy. Safety and efficacy is preferably evaluated and then compared to the first cycle response, the patient acting as his or her own control.

Route of administration may vary according to the patients needs and may be by any of the routes described for similar viruses such as described in US 5,698,443 column 6, incorporated herein by reference. Suitable doses for replicating viruses of the invention are in theory capable of being very low. For example they may be of the order of from  $10^2$  to  $10^{13}$ , more preferably  $10^4$  to  $10^{11}$ , with multiplicities of infection generally in the range 0.001 to 100.

For treatment a hepatic artery catheter, eg a port-a-cath, is preferably implanted. This procedure is well established, and hepatic catheters are regularly placed for local hepatic chemotherapy for ocular melanoma and colon cancer patients.

10 A baseline biopsy may be taken during surgery.

A typical therapy regime might comprise the following: :

Cycle 1: adenovirus construct administration diluted in 100 ml saline through the hepatic artery catheter, on days 1, 2 and 3.

Cycle 2 (day 29): adenovirus construct administration on days 1, 2, and 3 with concomitant administration of FUDR 0.3 mg/kg/d as continuous infusion for 14 days, via a standard portable infusion pump (e.g. Pharmacia or Melody), repeated every 4 weeks.

Toxicity of viral agent, and thus suitable dose, may be determined by Standard phase I dose escalation of the viral inoculum in a cohort of three patients. If grade III/IV toxicity occurs in one patient, enrolment is continued at the current dose level for a total of six patients. Grade III/V toxicity in  $\geq 50\%$  of the patients determines dose limiting toxicity (DLT), and the dose level below is considered the maximally tolerated dose (MTD) and may be further explored in phase II trials.

It will be realised that GMP grade virus is used where regulatory approval is required.

It will be realised by those skilled in the art that the administration of therapeutic adenoviruses may be accompanied by inflammation and or other adverse immunological event which can be associated with eg. cytokine release. Some viruses according to the invention may also provoke this, particularly if E1B activity is not attenuated. It will further be realised that such viruses may have advantageous anti-

tumour activity over at least some of those lacking this adverse effect. In this event it is appropriate that an immuno-suppressive, anti-inflammatory or otherwise anti-cytokine medication is administered in conjunction with the virus, eg, pre-, post- or during viral administration. Typical of such medicaments are steroids, eg, prednisolone or dexamethasone, or anti-TNF agents such as anti-TNF antibodies or soluble TNF receptor, with suitable dosage regimes being similar to those used in autoimmune therapies. For example, see doses of steroid given for treating rheumatoid arthritis (see WO93/07899) or multiple sclerosis (WO93/10817), both of which in so far as they have US equivalent applications are incorporated herein by reference.

The present invention will now be described by way of illustration only by reference to the following non-limiting Sequences, Figures and Examples. Further embodiments falling within the scope of the claims will occur to those skilled in the art in the light of these.

15

#### **SEQUENCE LISTING**

SEQ ID No 1 is the DNA sequence of Ad 5 with the E2 and E3 transcription site mutated in accordance with the invention as shown in Figure 2 with 4xTcf inserted in place of wild type E2 promoter.

20 SEQ ID No 2 is the partial amino acid sequence of the 33k protein encoded in SEQ ID No 1 unaffected by the insertion of Tcf instead of the wild type E2 promoter.

SEQ ID No 3 is the partial amino acid sequence of the pVIII protein encoded in SEQ ID No 1 unaffected by the insertion of Tcf instead of the wild type promoter.

SEQ ID No 4 is of wild type Adenovirus VR5 in the E2/E3 region.

25 SEQ ID No 5 is the DNA sequence of E2 late promoter as changed in a preferred virus of the invention as shown in Figure 4.

SEQ ID No 6 is a partial amino acid sequence 100k protein encoded in SEQ ID No 5 that is unaffected by the mutations in the late promoter.

30 SEQ ID No 7 is the DNA sequence of E1B promoter as mutated in a preferred virus of the invention as shown in Figure 20.

SEQ ID No 8 is that of a part of the telomerase promoter site that may be used in place of the Tcf sites exemplified herein.

SEQ ID No 9 to 25 are of primers G61 to G101 set out in the experimental herein.

5 SEQ ID No 26 is that of a single Tcf binding site.

SEQ ID No 27 is that of 2 Tcf sites and flanking adenoviral DNA as found in a preferred virus described herein.

SEQ ID No 28 is that of a mutant 3 Tcf site sequence with flanking viral DNA and an inactive Tcf site.

10 SEQ ID No 29 is that of a mutant 4 Tcf site sequence with flanking viral DNA as used in preferred viruses of the invention.

SEQ ID No 30 and 31 are forward and reverse primers for mutating the EIB promoter in a preferred virus of the invention.

15 SEQ ID No 32, 33 and 34 are forward and reverse primers and a probe respectively for quantitative PCR measurement of E2 expression in Taqman protocol.

SEQ ID No 35, 36 and 37 are forward and reverse primers and a probe respectively for quantitative PCR measurement of E3 expression in Taqman protocol.

20 SEQ ID No 38, 39 and 40 are forward and reverse primers and a probe respectively for quantitative PCR measurement of EIB expression in Taqman protocol.

SEQ ID No 41, 42 and 43 are forward and reverse primers and a probe respectively for quantitative PCR measurement of E4 expression in Taqman protocol.

SEQ ID No 44 and 45 are forward and reverse primers for fibre expression measurement.

25

Sequences are also provided in the Example and figures below showing primers and end construct virus sequences and their features of interest.

30

**FIGURES**

Figure 1 shows wild type E2 region position where transcription factor sites are inserted in preferred adenoviruses of the invention..

Figure 2 shows E3 region changes to wild type virus in a preferred virus

5 Figure 3 shows E2/E3 region of wild type virus.

Figure 4 shows E2 late promoter changes to wild type in a further preferred virus.

Figure 5 shows a diagrammatic representation illustrating steps taken in production of viruses of the invention.

Figure 6 is a diagrammatic representation of plasmid pNKBAC39.

10 Figure 7 is a diagrammatic representation of plasmid p680.

Figure 8 is a slot blot of virus infected cells probed with adenovirus DNA (A) or human genomic DNA (loading control B).

Figure 9 is a graphic representation of results of quantatative PCR of viral DNA from virus infected cells.

15 Figure 10 is a histogram showing E2 early expression in SW 480 and H1299 cell lines infected with wild type Ad5, and vMB12, vMB13 and vMB14 of the invention as measured by Taqman RT-PCR.

Figure 11 is a histogram showing E3 expression in SW480 and H1299 cell lines infected with wild type Ad5, and vMB12, vMB13 and vMB14 of the invention as

20 measured by Taqman RT-PCR.

Figure 12 is a histogram showing DNA replication of wild type Ad5, and vMB12, vMB13 and vMB14 of the invention in SW480 and H1299 cell lines as measured by Taqman RT-PCR.

25 Figure 13 is a histogram showing burst size (arbitrary units) with wild type Ad5, and vMB12, vMB13 and vMB14 of the invention in SW480, H1299 and WI38 (fibroblast) cell lines.

Figure 14 is a graph showing CPE results % v particles/cell of wild type Ad5, and vMB12, vMB13 and vMB14 of the invention in SW480

30 Figure 15 is a graph showing CPE results % v particles/cell of wild type Ad5, and vMB12, vMB13 and vMB14 of the invention in H1299.



Figure 16 is a plot of tumour volume v days after administration of buffer, vMB12, vMB13 and vMB14 in Col15 xenografts.

Figure 17 is a histogram showing E1B-55k expression in SW480 and H1299 cell lines infected with wt Ad5, vMB14 and vMB19 of the invention.

5 Figures 18, 19 and 20 are histograms of E2 and E3 early expression and DNA replication respectively in SW480 and H1299 cells.

Figure 21 is that of E1B promoter changes as compared to Ad5 in a preferred construct or virus of the invention.

## 10 EXAMPLES

The inventors have constructed viruses with the amino-terminus of E1B 55K fused to GFP (**comparative virus LGM**), with replacement of the E2 promoter by three Tcf sites (**virus Ad-Tcf3**), and with the two combined (**virus LGC**). The inventors have also constructed viruses with replacement of the E2 promoter by four

15 Tcf sites alone (**virus vMB12**), with replacement of the E2 promoter by four Tcf sites combined with silent mutations in the E3 promoter, particularly to NF1, NFκB, AP1, and ATF sites (**virus vMB14**), and with replacement of the E2 promoter by four Tcf sites combined with silent mutations in the E3 promoter, particularly to NF1, NFκB, AP1, but not ATF sites (**virus vMB13**). The inventors have also constructed viruses

20 with replacement of the Sp1 site in the E1B promoter with four Tcf sites in a wild type adenovirus backbone (**virus vMB23**), in a vMB12 backbone (**virus vMB27**), in a vMB13 backbone (**virus vMB31**) and in a vMB14 backbone (**virus vMB19**).

### **Brief description of key constructs:**

25 Gap repair and two step gene replacement as used to construct the Ad Tcf3 YAC/BAC (pMB36) are illustrated in Figure 5 (all of the steps shown take place inside a yeast cell). Maps of pNKBAC39 and p680 are shown in Figure 6 and 7. Availability of materials: pUC19 (Clontech), Bluescript (Stratagene), pEGFP-C1 (Clontech), phGFP-S65T (Clontech) and pRS406 (Stratagene) .

30

YAC/BAC (pMB19): The adenovirus genome was modified in a large plasmid with a bacterial artificial chromosome (F') replication origin, a yeast centromere and replication origin, and selectable markers for yeast and bacteria (HIS3, chloramphenicol resistance gene).

5

Ad5 YAC/BAC (pMB20): Genomic DNA was prepared from adenovirus type 5 obtained from ATCC (VR5). Small terminal fragments were amplified by PCR and cloned into the YAC/BAC. The vector was linearised at a site between the two terminal Ad5 fragments and transfected into yeast together with full length Ad5 genomic DNA. The plasmid was recircularised by homologous recombination (gap repair), giving full length Ad5 genomic DNA cloned in the YAC/BAC.

10

E1B::GFP fusion (pMB25): An Ad5 fragment containing the part of E1B 55k which overlaps E1B 19k was cloned by PCR and fused to the 5'-end of EGFP. This was then embedded in a larger Ad5 fragment, so that the E1B::GFP fusion was flanked on both sides by Ad5 sequence, in a vector containing LEU2 and CYH2 for selection and counter-selection in yeast.

15

LGM YAC/BAC (pMB26): The E1B::GFP fusion in pMB25 was inserted in the Ad5 YAC/BAC by two step gene replacement. The resulting plasmid was transferred to E. coli to allow production of enough DNA for sequencing and transfection into mammalian cells. Plasmid from E. coli was cut with PacI to liberate the Ad 5 insert, then transfected into 293 cells to make virus.

20

E2-Tcfx3/4 mutations (pMB33/69): An Ad5 fragment containing the E2 region was cloned into a vector containing URA3 for selection and counterselection in yeast. The E2 promoter was replaced by inverse PCR. pMB33 contains 3 Tcf sites; pMB69 contains 4 Tcf sites.

25

Ad-Tcfx3 YAC/BAC (pMB36): The E2-Tcfx3 replacement sequence in pMB33 was inserted in the Ad5 YAC/BAC by two step gene replacement. The YAC/BAC was then transferred to E. coli and 293 cells to make virus. The resulting virus is called Ad-Tcf3.

5

Ad-Tcfx4 YAC/BAC (pMB74): The E2-Tcfx4 replacement sequence in pMB69 was inserted in the Ad5 YAC/BAC by two step gene replacement. The YAC/BAC was then transferred to E. coli and 293 cells to make virus. The resulting virus is called vMB12.

10

LGC YAC/BAC (pMB37): The E2-Tcfx3 mutations in pMB33 were inserted in the LGM YAC/BAC by two step gene replacement. The YAC/BAC was then transferred to E. coli and 293 cells to make virus.

15 E2-Tcfx4/E3 mutations (pMB66): The E2 and E3 mutations were introduced in three successive rounds of inverse PCR. The E2 changes were made as for pMB33 but with four Tcf sites. To permit two step gene replacement some additional Ad 5 sequence was added 3' of the E3 promoter.

Ad-Tcfx4/mutE3 YAC/BAC (pMB75): The E3 mutations and E2-Tcfx4 replacement sequence in pMB66 were inserted in the Ad5 YAC/BAC by two step gene replacement. The YAC/BAC was then transferred to E. coli and 293 cells to make virus. The resulting virus is called vMB14.

25 Ad-Tcfx4/mutE3+ATF YAC/BAC (pMB73): The E3 mutations, except the ATF mutations, and E2-Tcfx4 replacement sequence in pMB66 were inserted in the Ad5 YAC/BAC by two step gene replacement. The YAC/BAC was then transferred to E. coli and 293 cells to make virus. The resulting virus is called vMB13.

Note: Both pMB73 and 75 were made by two step gene replacement in the Ad5 YAC/BAC using the pMB66. Mutations near the site of integration are not always

30

transferred by two step gene replacement. pMB73 lacks the ATF site mutations because the ATF site is the nearest to the site of integration.

**Detailed procedures:**

- 5 pMB20: Ad5 genomic DNA was gap repaired into pMB19 cut with SalI. pMB19 was made by inserting a yeast replication origin (from pH4ARS, Bouton and Smith, 1986) into the SacI site of a vector already containing the terminal Ad5 fragments (pMB10). The starting vector (pNKBAC39, Larionov et al., 1996) was expected not to need an
- 10 initially by PCR into a pUC19-derived vector (to give pMB1 and pMB2), and then transferred sequentially into the BamHI/Bsu36I sites of pNKBAC39. PacI sites were present in the G76 primer used to make pMB1 and pMB2 (PCR with primers G74-G76 and G75-G76 giving Ad5 fragments of 390 and 356 bp).
- 15 pMB25: The EGFP vector is a modified vector from Clontech. It has the 5' end of GFP from pEGFP-C1 and the 3'-end of GFP from pHGFP-S65T. The Ad5 PCR fragment (nt 2019-2261, primers G77-78) was cloned into the NheI and AgeI sites at the 5'-end of EGFP to give pMB7. The SmaI Ad5 fragment (nt 1007-3940) containing the E1 region was cloned into Bluescript to give pMB22. The E1B::GFP fusion
- 20 (NotI/KpnI) was cloned into pMB22 (BglII/KpnI) to give pMB24. The XhoI/BamHI fragment of pMB24 containing the Ad5 insert was cloned into p680 (Ketner et al., 1994) to give pMB25.
- pMB33: The Ad5 PCR fragment (nt 26688-27593, PCR with primers G61-G62,
- 25 product cut with SacI/KpnI) was cloned into the KpnI/SacI sites in pRS406 to give pMB32. This was mutagenised by inverse PCR to insert the Tcf sites using primers G63-G64. The primers should give four Tcf sites but the first Tcf site was subsequently found to contain a mutation, so the final vector only contains three Tcf sites.

30

pMB66: pMB33 with four correct Tcf sites is called pMB69. pMB69 was mutagenised by inverse PCR using primers G89 and G90 to give pMB46. pMB46 was mutagenised by inverse PCR using primers G87 and G88 to give pMB49, which contains four Tcf sites in E2 and all of the desired mutations in E3. The Ad 5 PCR  
5 fragment (nt 27331-27689, primers G100-G101) used to facilitate two step gene replacement was cut with EcoRI and PstI and cloned into Bluescript to give pMB58. This 3' extension was first used to create a vector with four Tcf sites in E2 and a wild type E3 promoter (the EcoRI/PstI fragment from pMB58 was inserted into the EcoRI/SacI sites in pMB49) to give pMB63. The E3 mutations were then cloned back  
10 into this vector (the SacI/KpnI fragment of pMB49 was cloned into the SacI/KpnI sites in pMB63) to give pMB66.

pMB67: The E2 promoter with two Tcf sites was constructed as for pMB33 but using primers G91 and G92 for the inverse PCR, to give pMB45. An EcoRI/Eco47III  
15 fragment containing the two Tcf sites was transferred from pMB45 into pMB66 to give pMB67.

#### Primers

G61 Ad 5, 26688 (E2 region)  
20 5'-TGCATTGGTACCGTCATCTCTA-3'

G62 Ad 5, 27882 (E2 region)  
5'-GTTGCTCTGCCTCTCCACTT-3'

25 G63 iPCR, E2 promoter replacement (2 x Tcf), upper primer  
5'-CAGATCAAAGGGATTAAGATCAAAGGCCATTATGAGCAAG-3'

G64 iPCR, E2 promoter replacement (2 x Tcf), lower primer  
5'-GATCCCTTTGATCTCCAACCCTTTGATCTAGTCCTTAAGAGTC-3'

30

- G74 Ad5, 390 (left arm gap repair fragment )  
5'-GGG CGA GTC TCC ACG TAA ACG-3'
- G75 Ad5, 36581 (right arm gap repair fragment)  
5 5'-GGG CAC CAG CTC AAT CAG TCA-3'
- G76 Ad5 ITR plus EcoRI, HindIII and PacI sites  
5'-CGG AAT TCA AGC TTA ATT AAC ATC ATC AAT AAT ATA CC-3'
- 10 G77 Ad 5, 2020 (E1B fragment plus NheI site)  
5'-GCG GCT AGC CAC CAT GGA GCG AAG AAA CCC A-3'
- G78 Ad 5, 2261 (E1B fragment plus AgeI site)  
5'-GCC ACC GGT ACA ACA TTC ATT-3'
- 15 G87 iPCR to destroy the E3 NF-1, L1 and L2 binding sites, upper primer  
5'-AGCTGGGCTCTCTTGGTACACCAGTGCAGCGGGCCAACTA-3'
- G88 iPCR to destroy the E3 NF-1, L1 and L2 binding sites, lower primer  
20 5'-CCCACCACTGTAGTGCTGCCAAGAGACGCCCAGGCCGAAGTT-3'
- G89 iPCR to destroy the E3 ATF and AP-1 binding sites, upper primer  
5'-CTGCGCCCCGCTATTGGTCATCTGAACTTCGGCCTG-3'
- 25 G90 iPCR to destroy the E3 ATF and AP-1 binding sites, lower primer  
5'-CTTGCGGGCGGCTTTAGACACAGGGTGCGGTC-3'
- G91 iPCR, E2 promoter replacement (1 x Tcf), upper primer  
5'-CAGATCAAAGGGCCATTATGAGCAAG-3'
- 30 G92 iPCR, E2 promoter replacement (1 x Tcf), lower primer

5'-GATCCCTTTGATCTAGTCCTTAAGAGTC-3'

G100 Ad 5, 27757 (E3 distal promoter region)

5'-ATGGCACAAACTCCTCAATAA-3'

5

G101 Ad 5, 27245 (E3 distal promoter region)

5'-CCAAGACTACTCAACCCGAATA-3'

The following references for procedures are incorporated herein by reference:

- 10 Bouton, A. H., and Smith, M. M. (1986). Fine-structure analysis of the DNA sequence requirements for autonomous replication of *Saccharomyces cerevisiae* plasmids. *Mol Cell Biol* 6, 2354-63.

- 15 Ketner, G., Spencer, F., Tugendreich, S., Connelly, C., and Hieter, P. (1994). Efficient manipulation of the human adenovirus genome as an infectious yeast artificial chromosome clone. *Proc Natl Acad Sci U S A* 91, 6186-90.

- Larionov, V., Kouprina, N., Graves, J., Chen, X. N., Korenberg, J. R., and Resnick, M. A. (1996). Specific cloning of human DNA as yeast artificial chromosomes by transformation-associated recombination. *Proc Natl Acad Sci U S A* 93, 491-6.

**E2 promoter replacement sequences inserts for preparing Ad-Tcf viruses**  
single Tcf site:

AGATCAAAGGG

25

Ad 5 sequence:

GACTAG-...-GCCATT

2 Tcf sites:

GACTAG-ATCAAAGGGATCCAGATCAAAGG-GCCATT

30

3 Tcf sites:

GACTAG-ATCAAGGGTTGGAGATCAAAGGGATCCAGATCAAAGGGATTAA  
GAT CAAAGG-GCCATT

5 4 Tcf sites:

GACTAG-ATCAAAGGGTTGGAGATCAAAGGGATCCAGATCAAAGGGATTAA  
AGATCAAAGG-GCCATT

Note: The 3 Tcf vector is a mutant form of the 4 Tcf vector resulting from a  
10 PCR cloning artefact (there is a single A deletion in the first Tcf site). This is the  
sequence present in the Ad-Tcf3 and LGC viruses.

### **E3 promoter binding sites**

Four sites have been identified in the E3 promoter by DNase I foot-printing in  
15 Hela cells (Garcia 1987, Hurst 1987) Site 1 covers the TATA box, the remaining sites  
(underlined and marked H2-H4 in Figures 1 to 3) are bound by ATF, AP1 and NF1.  
Two sites have been identified by DNase I footprinting in lymphoid cells (Williams  
1990) (underlined and marked L1 and L2 in Figures 1 to 3), they bind NFκB family  
members.

20 To inactivate the promoter, mutations were introduced by inverse PCR. All  
sites except the TATA box contain at least one mutation. The mutations are silent at  
the protein level. The L1, L2 and H2 boxes contain multiple substitutions of highly  
conserved residues. The H4 box contains a single mutation in a relatively poorly  
conserved residue because of the limited choice of alternative codons. Mutation of the  
25 H4 site was not a priority because deletion of this site has no effect on E3  
transcription in Hela cells (Garcia 1987). Only relatively weakly conserved residues  
in the H3 box were mutated because any modification of the most conserved residues  
in the published AP1 site (TGAC) would change the protein sequence. There is a  
better match to an AP1 site slightly 3' of the published site (still within the published  
30 footprint); the mutations introduced completely destroy this site.



**Construction of viruses containing 4 Tcf sites controlling the E1B promoter.**

pMB22 (E1 region in Bluescript) is described in the construction of the LGM virus.

1. pRDI-238 = Introduction of Tcf sites and deletion of Sp1 site by inverse PCR from pMB22. Primers: tCCCTTTGATCTccaaCCCTTTGATCTAGTCCtatataatgcgccgtg  
5 and tccAGATCAAAGGGattaAGATCAAAGGGatttaacagccatgcaa. The underlined sequence between the Tcf site and TATA box is identical with that in the E2 promoter (ie not E1B promoter sequence) because we know that this spacing and sequence are compatible with good regulation of the promoter.
- 10 2. pRDI-239 = Transfer small fragment containing the Tcf mutations into a yeast integrating vector. The pRDI-238 EcoRI/SacI fragment was cloned into the same sites in pRS406.
3. pRDI-241 = E1B-Tcf yeast integrating vector. The E1B-containing 2 kb SacI  
15 fragment from pMB22 was cloned into the same site in pRDI-239 to provide additional sequences for recombination with the YAC/BAC.
4. Two step gene replacement in YAC/BACs. pRDI-241 was cut with XbaI and transfected into yeast (yMB strains) containing the adeno YAC/BACs. To select for  
20 integration and excision, the transformants were plated on ura- then 5-FOA medium. Plasmids were then rescued to DH10B:
  - pRDI-243 = recombinant from yMB2 (wild type Ad5)
  - pRDI-268 = recombinant from yMB15 (4xTcf-E2, wt E3)
  - pRDI-254 = recombinant from yMB13 (4xTcf-E2, mutant E3 + wt ATF)
  - 25 pRDI-264 = recombinant from yMB17 (4xTcf-E2, fully mutant E3)
5. These plasmids were cut with PacI and transfected into 293 cells (ATCC CRL  
1573) containing activated Tcf ( $\Delta N\beta$ -catenin, supplied by Dr H Clevers) to produce recombinant adenoviruses:
  - vMB15 = virus pool derived from pRDI-243 transfection
  - 30 vMB16 = virus pool derived from pRDI-268 transfection

vMB17 = virus pool derived from pRDI-254 transfection

vMB18 = virus pool derived from pRDI-264 transfection

6. The viruses were plaque purified on SW480 cells because these cells contain active  
5 Tcf and have no endogenous E1B sequences with which the viral genome could recombine. The viruses were then expanded on SW480, purified by Cs banding, and checked by restriction digestion and sequencing in the E1B and E2/E3 regions. The plaque purified viruses were given the following names:

vMB23 = Ad5 with 4 x Tcf sites in the E1B promoter

10 vMB27 = Ad5 with 4 x Tcf sites in both the E1B and E2 promoters

vMB31 = Ad5 with 4 x Tcf sites in the E1B and E2 promoters, and a mutant E3 promoter with a wild type ATF site

vMB19 = Ad5 with 4 x Tcf sites in the E1B and E2 promoters, and a fully mutant E3 promoter

15

### **RESULTS:**

Luciferase assays using Tcf reporters show that p53-mutant lung carcinoma cells (H1299) lack Tcf activity and p53-mutant colon carcinoma cells (SW480) have strong Tcf activity. Viruses selective for cells containing Tcf activity should therefore  
20 replicate in SW480 but not H1299. The inventors have demonstrated that matched viruses with Tcf-mutant E2 promoters express E2 gene products preferentially by western blotting; replicate better by slot blotting and quantitative PCR; and have greater cytopathic effect in SW480 than H1299. The relatively modest effect of an E2 promoter mutation alone is considerably enhanced when the virus also lacks E1B 55k.  
25 E1B 55k mutations reduce nuclear export of DBP mRNA transcribed from the E2 late promoter. DBP can be expressed from both the early and late E2 promoters. The inventors have determined that the E1B 55k-dependent reduction in DBP expression might be rescued by the Tcf mutations in the E2 early promoter. Consistent with this the level of DBP expressed from LGC is significantly reduced in H1299. This effect

of E1B 55k is entirely independent of p53, as is obvious from the fact that neither cell line contains p53.

### **Western blot**

5 H1299 and SW480 were infected at an moi of 0.2 with wild type Ad5, Ad-Tcf3, LGM and LGC. Cells were harvested for western blotting after 24, 48 and 72 hours. Blots were probed with antibodies against DBP. Wild type Ad5 gave comparable expression of protein in SW480 and H1299. Ad-Tcf3 gave slightly stronger expression of DBP in SW480 than in H1299. Ad-Tcf3 gave higher  
10 expression of DBP protein than wild type Ad5 in SW480 at 24 hours, but was similar to wild type Ad5 at 48 and 72 hours. DBP was expressed better by LGM than LGC in H1299, but both viruses gave comparable DBP expression in SW480.

The results are consistent with the Tcf-mutant E2 promoter being more strongly activated in colon cells than in lung cells.

15

### **Viral DNA replication assays**

H1299 and SW480 were infected at an moi of 0.2 with wild type Ad5, Ad-Tcf3, LGM and LGC. Cells were harvested for DNA extraction after 0, 24, 48 and 72 hours. All of the samples were slot blotted and hybridised to <sup>32</sup>P-labelled adenoviral  
20 DNA (Figure 8A) or control human genomic DNA (Figure 8B). This showed replication of LGM in both cell lines, but replication of LGC only in SW480 (the actual values measured by phosphorimager are equal to background). To calculate the difference more precisely, the 72 hour samples were tested by Taqman quantitative PCR assay (Perkin Elmer) using Ad5 primers (Figure 9). This confirmed the results of  
25 the slot blot: LGC is two-fold worse than wild type Ad5 in SW480, but 3000-fold worse in H1299. LGM is ten-fold worse than wild type Ad5 in SW480, but four-fold worse in H1299. Ad-Tcf3 also shows some selectivity for SW480 cells, albeit less dramatic: it is 1.3-fold better than wild type Ad5 in SW480, but 7.4-fold worse in H1299.

These results are consistent with the stronger expression of pol and pTP in Tcf-mutant E2 promoter viruses in colon cells resulting in greater viral replication. Additionally, the data show that the combination of an E2 promoter mutation with deletion of E1B 55k results in exceptionally little replication in cells lacking Tcf  
5 activity.

#### **Cytopathic effect (CPE) assays**

H1299 and SW480 were infected with five-fold dilutions of wild type Ad5, Ad-Tcf3, LGM and LGC (moi of 0.6 in well 1, moi of 0.001 in well 5). Dishes were  
10 stained with crystal violet after eight days. CPE is apparent with wild type Ad5 in H1299 even at the highest dilution. Wild type Ad5 is five-fold less active in SW480 than H1299.

Ad-Tcf3 is five-fold less active than wild type Ad5 in H1299. LGM is 125-fold less active than wild type Ad5 in H1299. LGC is 625-fold less active than wild  
15 type Ad5 in H1299. The Tcf3 mutation in the E2 promoter thus results in a five-fold reduction in CPE in H1299. Ad-Tcf3 is similar to wild type Ad5 in SW480, indicating that there is a five-fold gain in activity in cells containing Tcf activity. LGC is five-fold more active than LGM in SW480.

LGC is 625-fold less active than wild type Ad5 in cells lacking Tcf activity,  
20 but only five-fold less active in cells containing Tcf activity. This represents a 125-fold selectivity for colon cells, and a five-fold greater activity than a simple E1B 55k-deficient virus.

Additional CPE assays were performed with SW480 cells and normal lung fibroblasts using the above viruses Ad-Tcf3, LGM and LGC. Ad-Tcf3 gave wild type  
25 activity on SW480 but 5-fold less activity on normal fibroblasts. In this experiment LGM and LGC both gave about 125-fold less activity than wild type Ad5 on SW480 but 5-fold and >125-fold less activity respectively on normal fibroblasts.

The selectivity and efficacy of Ad-Tcf 3 are shown to be greater than that of LGM which itself has essentially the same properties as the ONYX-015 virus.

30

**4xTcf viruses vMB12, 13, 14 and 19**

**METHODS:** Cell lines: SW480 and Col15 colorectal carcinoma cells were supplied by Dr B Sordat. WI38 cells were supplied by ATCC. The H1299 cells were supplied by Dr C Prives and contain an integrated tet-VP16 transactivator (Chen, X., et al. 1996. *Genes Dev* 10, 2438-51).

**Taqman assays**

Cells were infected with either 300 (SW480 and H1299) or 1000 (WI38) viral particles/cell in DMEM 10 % FCS. Two hours after infection, the medium was removed and replaced with 2 ml of fresh DMEM 10 % FCS containing 10 mM hydroxyurea. Twenty-four hours after infection, cells are washed with 1x PBS and lysed with either buffer RLT (from Qiagen RNeasy Mini Kit, Ref. 74104) for RNA extraction or with a mix containing 1x PBS, buffer AL and proteinase K solution (from Qiagen DNeasy Tissue Kit, Ref. 69504) for DNA extraction. RNA and DNA extractions were performed according to the manufacturer's instructions. Reverse transcription (RT) was performed using 1 µg of total RNA and MMLV Superscript Core Reagents (LifeTechnologies, Ref. 18064022) in 20 µl reaction volume. TaqMan PCR reactions were performed using TaqMan Universal PCR Master Mix Kit (Perkin Elmer, Ref. 4304447), 900 nM of primers (Microsynth and Eurogentec) and 500 nM of TaqMan probe (Eurogentec). Sybr green PCR reactions were performed using Sybr green Universal PCR Master Mix Kit (Perkin Elmer, Ref. 4309155) and 900 nM of fibre gene primers (Eurogentec). The amount of template used for the PCR reaction was 1 µg of genomic DNA or to 5 µl of RT reaction volume. Results for DNA were normalised to OD<sub>260</sub>, results for RNA were normalised to ribosomal RNA (Ribosomal RNA Control, Perkin Elmer, ref 4310893E). The primers and probes for quantitative PCR were

E2 early forward primer: TTCGCTTTTGTGATACAGGCA

E2 early reverse primer: GTCTTGGACGCGACGAGAAG

E2 probe: CGGAGCGTTTGCCGCGC

E3 forward primer: AGCTCGGAGAGGTTCTCTCGTAG

E3 reverse primer: AACACCTGGTCCACTGTTCGC  
E3 probe: CCGCGACTCCGTTTCAACCCAGA  
E1B-55k forward primer: TGCTTCCATCAAACGAGTTGG  
E1B-55k reverse primer: GCGCTGAGTTTGGCTCTAGC  
5 E1B-55k probe: CGGCGGCTGCTCAATCTGTATCTTCA  
E4 forward primer: GGTTGATTCATCGGTCAGTGC  
E4 reverse primer: ACGCCTGCGGGTATGTATTC  
E4 probe: AAAAGCGACCGAAATAGCCCG  
Fibre forward primer: TGATGTTTGACGCTACAGCCATA  
10 Fibre reverse primer: GGGATTTGTGTTTGGTGCATTAG

#### **Western Blot analysis**

Cells were infected with either 300 (SW480 and H1299) or 1000 (WI38) viral particles/cell in DMEM 10 % FCS. Two hours after infection, the medium was  
15 removed and replaced with 2 ml of fresh DMEM 10 % FCS. Cells were harvested 24 hours after infection. Immunoblotting was performed as described by Harlow and Lane (Antibodies: A laboratory manual, New York: Cold Spring Harbor Laboratory Press, 1988 incorporated herein by reference). Dr. A. Levine provided both 2A6 anti-E1B55K antibody (Sarnow P. et al, Virology, 1982, 120: 510-517) and B6 anti-DBP  
20 antibody (Reich N. et al, Virology, 1983, 128: 480-484). Detection was with HRP-conjugated rabbit anti-mouse IgG (DAKO A/S, Denmark) and chemiluminescence (Amersham, Little Chalfont, UK)

#### **Plaque assay**

25 Cells were infected in duplicate with either 300 (for SW480 and H1299) or 1000 (WI38) viral particles/cell in DMEM 10 % FCS. After two hours incubation at 37 °C, the medium was removed and replaced with 2 ml of fresh DMEM 10 % FCS. After an additional 48 hours, the cells were scraped into the culture medium and lysed by three cycles of freeze-thawing. The supernatant of each duplicate point was tested

for virus production by triplicate plaque assay for 8-10 days under semisolid agarose on SW480 cells

#### **Cytopathic effect assays**

5           For the cytopathic effect assays, SW480 and H1299 cells were plated in triplicate in 96-well plates and infected with five-fold dilutions of virus from 1000 to 0.06 viral particles/cell. After two hours incubation at 37 °C, an additional 100 µl of DMEM 10% FCS was added to each well. After six days, the assays was terminated and the protein content in each well was measured using the BCA Protein Assay Kit  
10 (Pierce, Rockford, IL, USA) according to the manufacturer's instructions.

#### **Xenografts**

One million Co115 cells were injected subcutaneously into the flank of nude mice. On days 4-8 after injection,  $3 \times 10^{10}$  particles of virus or buffer were injected  
15 directly into the tumour. Tumour size was measured in two dimensions with callipers and tumour volume was calculated using the formula: volume =  $0.4 \times \text{length} \times \text{width}^2$ .

#### **Cotton rat lungs**

Cotton rats were infected intranasally with  $3 \times 10^{10}$  particles of virus in 50 µl  
20 of buffer. Three days later the animals were killed with isoflurane and four fragments of lung (from left and right upper and lower lobes) were taken from each animal. DNA was extracted by Qiagen DNeasy Tissue Kit and the samples from each animal pooled. Viral DNA content was determined by Taqman PCR with E4 primers and probe using 100 ng input DNA, as described above.

25

#### **RESULTS: vMB12, 13, 14 and 19**

The viruses with four Tcf sites in the E2 promoter were tested in SW480, H1299 and WI38 cells. Cells were harvested 24 hours after infection. Western blotting for DBP showed that DBP is expressed at least as well by the mutant viruses  
30 as by wild type in SW480, but much worse in H1299 and WI38 cells:

Taqman RT-PCR transcription assays (see Fig 10) show mutant viruses to have wild type levels of E2 mRNA in SW480 cells, but reduced levels in H1299 cells:

The Taqman assay further demonstrates that mutation of the E3 promoter in vMB14 decreases both E2 (Fig 10) and E3 mRNA levels (Fig 11):

5 To determine whether DNA replication is affected by the promoter mutations, SW480 and H1299 cells were infected with wild type, vMB12, 13 and 14, and harvested at 24 hours. Sybr green PCR assays using primers from the fibre region show that DNA replication is normal in SW480 but reduced in H1299 cells (Fig 12):

To determine whether virus replication is affected by the promoter mutations,  
10 SW480 and H1299 cells were infected with wild type, vMB12, 13 and 14, and harvested at 48 hours. Cells were lysed by freeze-thawing and virus production was measured by plaque forming assay on SW480 cells. This showed that the mutant viruses are comparable or better than wild type in SW480 cells, but defective in H1299 and WI38 cells (See Fig 13):

15 To determine whether the viruses show selective toxicity to colon cells, cytopathic effect assays were performed on SW480 and H1299 cells. This showed that the mutant viruses are comparable to wild type in SW480 cells (Fig 14) but showed reduced cytopathic effect in H1299 cells (Fig 15):

To determine whether the viruses show a therapeutic effect in vivo, they were  
20 injected into Col15 colon carcinoma xenografts in nude mice. This showed that intratumoral injection of all of the viruses delay the growth of xenografted colon tumours and prolong the survival of nude mice. vMB12 and 13 were more effective than vMB14 (Fig 16):

E1B expression is required for induction of inflammatory damage by  
25 adenoviruses in cotton rat lung (Ginsberg, H. S., et al 1999. PNAS 96, 10409-11). To reduce the risk of inflammatory reactions, Tcf sites were cloned into the E1B promoter. The resulting viruses should express E1B gene products in colon tumour cells but not in normal cells. In addition to reducing the risk of inflammatory reactions, this could also reduce expression of E2 gene products from the E2 late  
30 mRNA, because E1B 55k is reported to be required for E2 late mRNA export. The



virus with the mutant E1B promoter cloned into the vMB14 backbone is called vMB19. Wild type, vMB14 and vMB 19 were tested in SW480 and H1299 cells. Cells were harvested 24 hours after infection. Western blotting for E1B and DBP showed that E1B 55k is expressed in SW480 but not in H1299. vMB14 and 19 gave  
5 similar DBP expression in H1299 cells, suggesting that there is not a large effect of E1B on DBP late mRNA export, at least at 24 hours in this cell line:

Taqman RT-PCR transcription assays 24 hours after infection confirmed that the vMB19 has wild type levels of E1B, E2 and E3 mRNA in SW480 cells, but reduced levels in H1299 cells (Fig 17, 18 and 19):

10 Viral DNA replication was tested 24 hours after infection by Sybr green quantitative PCR using fibre primers. This showed that both mutant viruses replicate normally in SW480 cells but are defective in H1299 cells. Both mutant viruses were comparable, again suggesting that the documented reduction in E1B 55k expression does not have a marked effect in this experiment (Fig 20):

15 To determine whether vMB19 shows reduced DNA replication in normal cells in an *in vivo* setting, cotton rats were infected intra-nasally with virus and DNA was extracted from lungs three days later. Rats were treated in groups of five for each virus. Taqman quantitative PCR using E4 primers showed a median 50-fold reduction in viral DNA concentration with vMB19 compared to wild type.

20

#### **CONCLUSIONS: vMB12, 13, 14 and 19**

These data show that

1. insertion of Tcf sites in the E2 promoter is compatible with the production of viable adenovirus
- 25 2. the mutant E2 promoter is more active in colon tumour cells than non-colon tumour cells
3. mutation of the E3 promoter further reduces E2 activity in non-colon tumour cells
4. these mutations reduce viral DNA replication, virus replication and  
30 cytopathic effect in non-colon tumour cells

5. the mutant viruses impair the growth of xenografts in nude mice
6. insertion of Tcf sites in the E1B promoter is compatible with the production of viable adenovirus
7. the mutant E1B promoter is more active in colon tumour cells than non-
- 5 colon tumour cells
8. in the models tested so far the E1B promoter mutation does not affect virus replication
9. the virus with combined E1B and E2/E3 promoter mutations shows reduced replication in cotton rat lungs, and is expected to produce less inflammatory damage
- 10 than viruses with the E2/E3 mutations alone.

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### CLAIMS

1. A viral DNA construct encoding for a virus capable of replication in a human  
5 or animal tumour cell and causing death of such tumour cells characterised in that it  
comprises one or more selected transcription factor binding sites operatively  
positioned together with one or more early viral protein gene open reading frames  
such as to promote expression of these open reading frames in the presence of said  
selected transcription factor, the protein products of those open reading frames being  
10 mechanistically directly involved in viral construct nucleic acid replication and  
wherein the selected transcription factor binding sites are for a transcription factor the  
level or activity of which is increased in a human or animal tumour cell relative to that  
of a normal human or animal cell of the same type.
- 15 2. A viral DNA construct as claimed in Claim 1 having a nucleic acid sequence  
corresponding to that of a wild type virus sequence characterised in that it has one or  
more wild type transcription factor binding sites replaced by one or more selected  
transcription factor binding sites, these selected sites being operatively positioned in  
one or more promoter regions which control expression of early genes such as to  
20 promote expression in the presence of said selected transcription factor, the protein  
products of those genes being mechanistically directly involved in viral nucleic acid  
replication and wherein the selected transcription factor binding sites are for a  
transcription factor the level or activity of which is increased in a human or animal  
tumour cell relative to that of a normal human or animal cell of the same type.
- 25 3. A viral DNA construct as claimed in Claim 1 or Claim 2 characterised in that  
the selected transcription factor binding sites are for a transcription factor whose  
activity or level is specifically increased by causal oncogenic mutations.

4. A construct as claimed in Claim 2 or Claim 3 characterised in that its nucleic acid sequence corresponds to that of the genome of an adenovirus, lentivirus, polyomavirus, vaccinia virus, herpes virus or parvovirus with the selected transcription factor binding sites operatively positioned to control expression of the  
5 early viral genes.
5. A construct as claimed in any one of the preceding claims characterised in that its nucleic acid sequence, other than the selected sites, corresponds to that of the genome of adenovirus Ad5, Ad40 or Ad41, or incorporates DNA encoding for fibre  
10 protein from Ad 5, Ad40 or Ad41, optionally with 15 to 25 lysines added to the end thereof.
6. A construct as claimed in any one of the preceding claims characterised in that the genes controlled by the tumour specific transcription factor binding site are DNA  
15 polymerase, DNA terminal protein and/or DNA binding protein.
7. A construct as claimed in any one of the preceding claims characterised in that its nucleic acid sequence corresponds to that of an adenovirus having a wild type E2 early promoter transcription factor binding site replaced by the tumour cell specific  
20 transcription factor binding site.
8. A construct as claimed in any one of the preceding claims characterised in that it encodes a functional viral RNA export capacity.
- 25 9. A construct as claimed in any one of the preceding claims having an E1 region wherein the E1B 55K gene is functional and/or intact.
10. A construct as claimed in any one of the preceding claims characterised in that the tumour specific transcription factor binding site used in place of wild type site is  
30 selected from Tcf-4, RBPJ $\kappa$ , Gli-1, HIF1 $\alpha$  and telomerase promoter binding sites.

11. A construct as claimed in any one of the preceding claims characterised in that the replaced transcription factor binding site is selectively activated in tumour cells containing oncogenic APC and  $\beta$ -catenin mutations.
- 5 12. A construct as claimed in any one of the preceding claims characterised in that the replacement sites are single or multiples of a Tcf-4 binding site sequence.
13. A construct as claimed in Claim 12 characterised in that it comprises from 2 to  
10 20 Tcf-4 binding site sequences at each replaced promoter site.
14. A construct as claimed in any one of the preceding claims characterised in that its sequence corresponds to that of an adenovirus genome having mutations in one or more residues in the NF1, NF $\kappa$ B, AP1 and ATF regions of the E3 promoter.
- 15 15. A construct as claimed in Claim 14 characterised in that the mutations reduce E2 gene transcription caused by E3 promoter activity.
16. A construct as claimed in Claim 14 or 15 characterised in that the mutations  
20 are silent mutations, being such as not to alter the predicted protein sequence of any viral protein, but which alter the activity of one or more viral promoters.
17. A construct as claimed in any one of the preceding claims characterised in that its sequence corresponds to that of an adenovirus genome wherein a region in the E2  
25 early promoter, which is not overlapped by coding sequence, is replaced with multiple Tcf-4 binding site sequence.
18. A construct as claimed in any one of the preceding claims characterised in that  
30 its sequence corresponds to that of an adenovirus genome wherein the transcription factor binding sites in the E3-promoter are modified or deleted.

19. A construct as claimed in any one of the preceding claims characterised in that its sequence corresponds to that of an adenovirus genome wherein the E2 late promoter has been inactivated with silent mutations.

5

20. A virus comprising or encoded by a DNA construct as claimed in any one of Claims 1 to 19.

21. A virus encoding only wild type proteins other than one or more optional non-wild type non-cytotoxic marker proteins, for use in therapy.

10

22. A viral DNA construct, or a virus, as claimed in any one of Claims 1 to 20 for use in therapy.

23. A viral DNA construct, or a virus, as claimed in Claims 21 or Claim 22 characterised in that the therapy is of patients having neoplasms.

15

24. Use of a viral DNA construct, or a virus, encoding only wild type proteins, other than one or more optional non-wild type non-cytotoxic marker proteins, in the manufacture of a medicament for the treatment of neoplasms.

20

25. Use of a viral construct, or a virus, as claimed in any one of Claims 1 to 22 in the manufacture of a medicament for the treatment of neoplasms.

26. A pharmaceutical composition comprising a viral DNA construct, or a virus encoded thereby, encoding only wild type proteins other than one or more optional non-wild type non-cytotoxic marker proteins, together with a physiologically acceptable carrier, wherein the composition is sterile and pyrogen free with the exception of the presence of the DNA or virus encoded thereby.

25  
30

27. A composition comprising a viral construct, or a virus, as claimed in any one of Claims 1 to 21 together with a physiologically acceptable carrier.
28. A composition as claimed in Claim 27 characterised in that it is sterile and  
5 pyrogen free with the exception of the presence of the viral construct or virus encoded thereby.
29. A composition as claimed in any one of Claims 27 to 29 characterised in that the carrier is a physiologically acceptable saline.
- 10 30. A method of manufacture of a viral DNA construct or a virus encoded thereby as claimed in any one of Claims 1 to 19 characterised in that it comprises transforming a viral genome having one or more wild type transcription factor binding sites controlling transcription of genes having protein products directly  
15 mechanistically involved in viral nucleic acid replication such as to replace one or more of these by tumour specific transcription factor binding sites,
31. A method as claimed in Claim 30 characterised in that the viral genome is cloned by gap repair in a circular YAC/BAC in yeast.
- 20 32. A method as claimed in Claim 30 or 31 characterised in that the genome is modified by two step gene replacement.
33. A method as claimed in Claim 30, 31 or 32 characterised in that the modified  
25 genome is transferred to a prokaryote for production of viral construct DNA.
34. A method of manufacture of a virus characterised in that viral construct DNA produced by a method as claimed in any one of Claims 30 to 33, is transferred to a mammalian cell for production of virus.
- 30

35. A method for treating a patient suffering from neoplasms wherein a virus encoding only wild type proteins other than one or more optional non-wild type non toxic-marker proteins is caused to infect tissues of the patient, including or restricted to those of the neoplasm, and allowed to replicate such that neoplasm cells are caused  
5 to be killed.

36. A method for treating a patient suffering from neoplasms wherein a viral DNA construct or virus as claimed in any one of Claims 1 to 22 is caused to infect tissues of the patient, including or restricted to those of the neoplasm, and allowed to replicate  
10 such that neoplasm cells are caused to be killed.

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Fig.1.

...33k protein →  
 1 GGA GCG CTG CGT CTG GCG CCC AAC GAA CCC GTA TCG ACC CGC GAG CTT AGA AAC AGG ATT  
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 61 TTT CCC ACT CTG TAT GCT ATA TTT CAA CAG AGC AGG GGC CAA GAA GAG CTG AAA ATA  
 F P T L Y A I F Q Q S R G Q E L K I  
 121 AAA AAC AGG TCT CTG CGA TCC CTC ACC CGC AGC TGC CTG TAT CAC AAA AGC GAA GAT CAG  
 K N R S L R S L T R S C L Y H K S E D Q  
 181 ←E2 transcription start site] E2 TATA box  
 CTT CGG CGC ACG CTG GAA GAC GCG GAG GCT CTC TTC AGT AAA TAC TGC GCG CTG ACT CTT  
 L R T L E D A E A L F S K Y C A L T L  
 241 AAG GAC TAG ... ... E2 promoter replacement sequence ... ...  
 K D \*  
 pVIII protein →  
 ... ... ... ... GCC ATT ATG AGC AAG GAA ATT CCC ACG CCC  
 M S K E I P T P  
 361 TAC ATG TGG AGT TAC CAG CCA CAA ATG GGA CTT GCG GCT GCC CAA GAC TAC TCA  
 Y M W S Y Q P Q M G L A A A Q D Y S

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Fig.1 (Cont).

421	ACC CGA ATA AAC TAC ATG AGC GCG GGA CCC CAC ATG ATA TCC CGG GTC AAC GGA ATC CGC	
	T R I N Y M S A G P H M I S R V N G I R	
481	GCC CAC CGA AAC CGA ATT CTC TTG GAA CAG GCG GCT ATT ACC ACC ACA CCT CGT AAT AAC	
	A H R N R I L L E Q A A I T T P R N N	
541	CTT AAT CCC CGT AGT TGG CCC GCT GCC CTG GTG TAC CAG GAA AGT CCC GCT CCC ACC ACT	NFkB
	L N P R S W P A A L V Y Q E S P A P T T	L2
601	GTG GTA CTT CCC AGA GAC GCC CAG GCC GAA GTT CAG ATG ACT AAC TCA GGG GCG CAG CTT	AP1
	V L P R D A Q A E V Q M T N S G A Q L	H3
661	GCG GGC GGC TTT CGT CAC AGG GTG CGG TCG CCC GGG CAG GGT ATA ACT CAC CTG ACA ATC	E3 TATA box
	A G G F R R H R V R S P G Q G I T H L T I	
721	AGA GGG CGA GGT ATT CAG CTC AAC GAC GAG TCG GTG AGC TCC TCG CTT GGT CTC CGT CCG	[E3 transcription start site→
	R G R G I Q L N D E S V S S S L G L R P	



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Fig.2 (Cont).

421 ACC CGA ATA AAC TAC ATG AGC GCG GGA CCC CAC ATG ATA TCC CGG GTC AAC GGA ATC CGC  
 T R I N Y M S A G P H M I S R V N G I R

481 GCC CAC CGA AAC CGA ATT CTC TTG GAA CAG GCG GCT ATT ACC ACC ACA CCT CGT AAT AAC  
 A H R N R I L L E Q A A I T T P R N N

541 CTT AAT CCC CGT AGT TGG CCC GCT GCa CTG GTG TAC Caa GAg AGC CCa GCT CCC ACC ACT  
 L N P R S W P A A A L V Y Q E S P A P T T  
 NF1 NFkB L2

601 GTa GTg CTg CCa AGA GAC GCC CAG GCC GAA GTT CAG ATG ACC AAt agc GGG GCG CAG CTT  
 V L P R D A Q A E V Q M T N S G A Q L  
 NFkB API H3

661 GCG GGC GGC TTT aGa CAC AGG GTG CGG TCG CCC GGG CAG GGT ATA ACT CAC CTG ACA ATC  
 A G G F R R H R V R S P G Q G I T H L T I  
 ATF E3 TATA box H2

721 AGA GGG CGA GGT ATT CAG CTC AAC GAC GAG TCG GTG AGC TCC TCG CTT GGT CTC CGT CCG  
 R G R G I Q L N D E S V S S S L G L R P  
 [E3 transcription start site→

Fig.3.

1     ...33k protein →  
 GGA GCG CTG CGT CTG GCG CCC AAC GAA CCC GTA TCG ACC CGC GAG CTT AGA AAC AGG ATT  
 G    A    L    R    L    A    P    N    E    P    V    S    T    R    E    L    R    N    R    I

61    TTT CCC ACT CTG TAT GCT ATA TTT CAA CAG AGC AGG GGC CAA GAA CAA GAG CTG AAA ATA  
 F    P    T    L    Y    A    I    F    Q    Q    S    R    G    Q    E    Q    E    L    K    I

121    AAA AAC AGG TCT CTG CGA TCC CTC ACC CGC AGC TGC CTG TAT CAC AAA AGC GAA GAT CAG  
 K    N    R    S    L    R    S    L    T    R    S    C    L    Y    H    K    S    E    D    Q

181    CTT CGG CGC ACG CTG GAA GAC GCG GAG GCT CTC TTC AGT AAA TAC TGC GCG CTG ACT CTT  
 L    R    T    L    E    D    A    E    A    L    F    S    K    Y    C    A    L    T    L

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 K    D    \*

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 CCA CAC CCG GCG CCA GCA CCT GTC GTC AGC GCC ATT ATG AGC AAG GAA ATT CCC ACG CCC  
 M    S    K    E    I    P    T    P

361    TAC ATG TGG AGT TAC CAG CCA CAA ATG GGA CTT GCG GCT GCC CAA GAC TAC TCA  
 Y    M    W    S    Y    Q    P    Q    M    G    L    A    A    G    A    Q    D    Y    S

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←E2 transcription start site

E2F    CEBP    ATF    pVIII protein →

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Fig.3 (Cont).

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481	GCC CAC CGA AAC CGA ATT CTC TTG GAA CAG GCG GCT ATT ACC ACC ACA CCT CGT AAT AAC	
	A H R N R I L L E Q A A I T T P R N N	
541	CTT AAT CCC CGT AGT TGG CCC GCT GCC CTG GTG TAC CAG GAA AGT CCC GCT CCC ACC ACT	NFκB
	L N P R S W P A A L V Y Q E S P A P T T	L2
601	GTG GTA CTT CCC AGA GAC GCC CAG GCC GAA GTT CAG ATG ACT AAC TCA GGG GCG CAG CTT	AP1
	V L P R D A Q A E V Q M T N S G A Q L	H3
661	GCG GGC GGC TTT CGT CAC AGG GTG CGG TCG CCC GGG CAG GGT ATA ACT CAC CTG ACA ATC	E3 TATA box
	A G G F R R H R V R S P G Q G I T H L T I	
721	AGA GGG CGA GGT ATT CAG CTC AAC GAC GAG TCG GTG AGC TCC TCG CTT GGT CTC CGT CCG	E3 transcription start site→
	R G R G I Q L N D E S V S S L G L R P	

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Fig.4.

E2 late promoter mutations

...100k protein → ←E2 late transcription start site  
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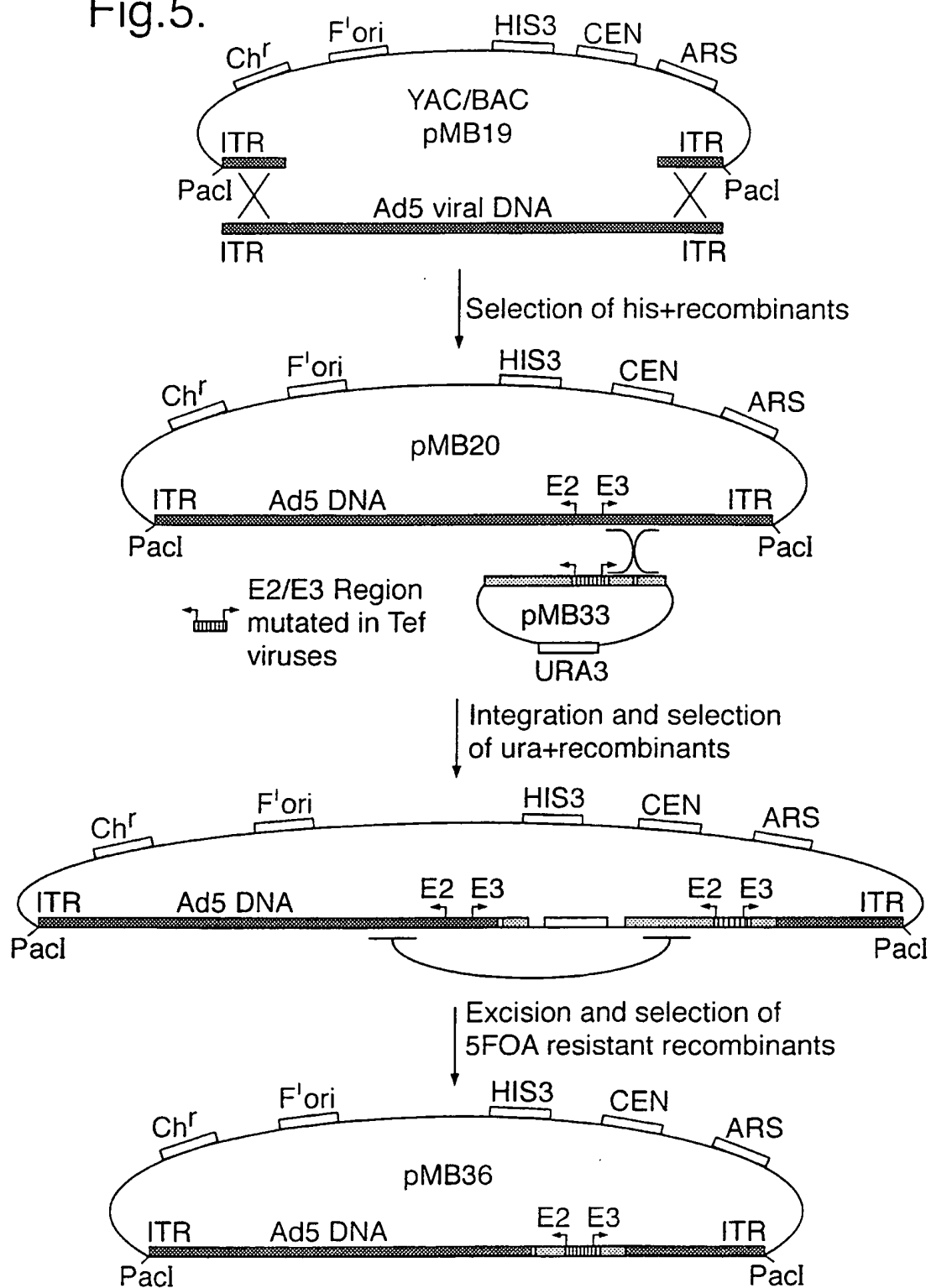
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 A Y L R K K F V P E D Y H A H E I R F Y E

CCAAT box II III  
 GAT CAG TCC CGC CCG CCA AAT GCG GAG CTT ACC GCC TGC GTC ATT ACC CAG GGC CAC ATT  
 D Q S R P P N A E L T A C V I T Q G H I

IV  
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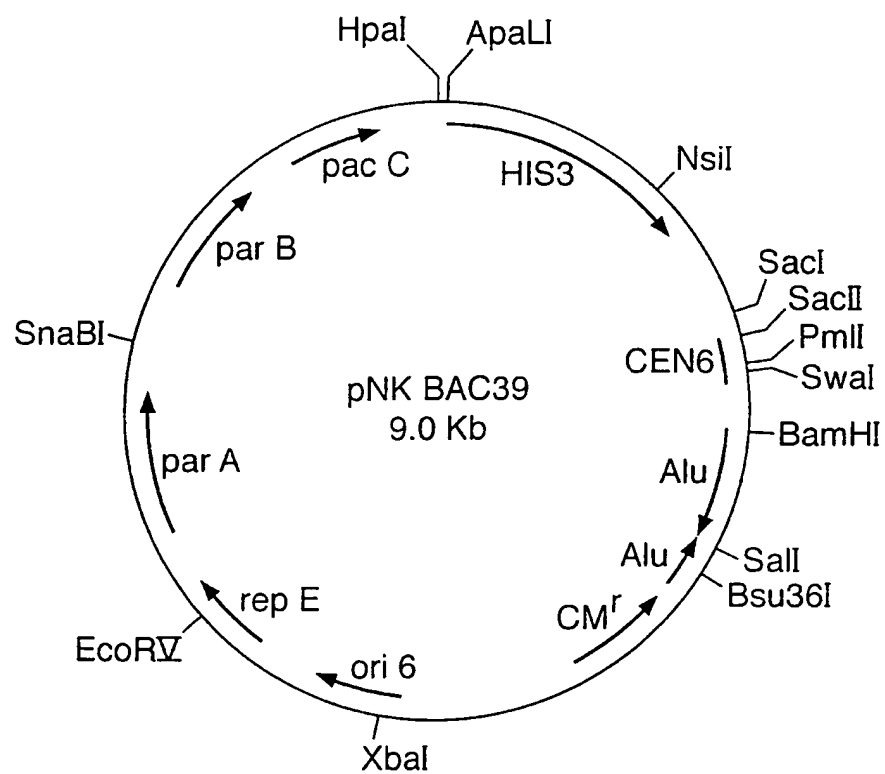
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Fig.5.



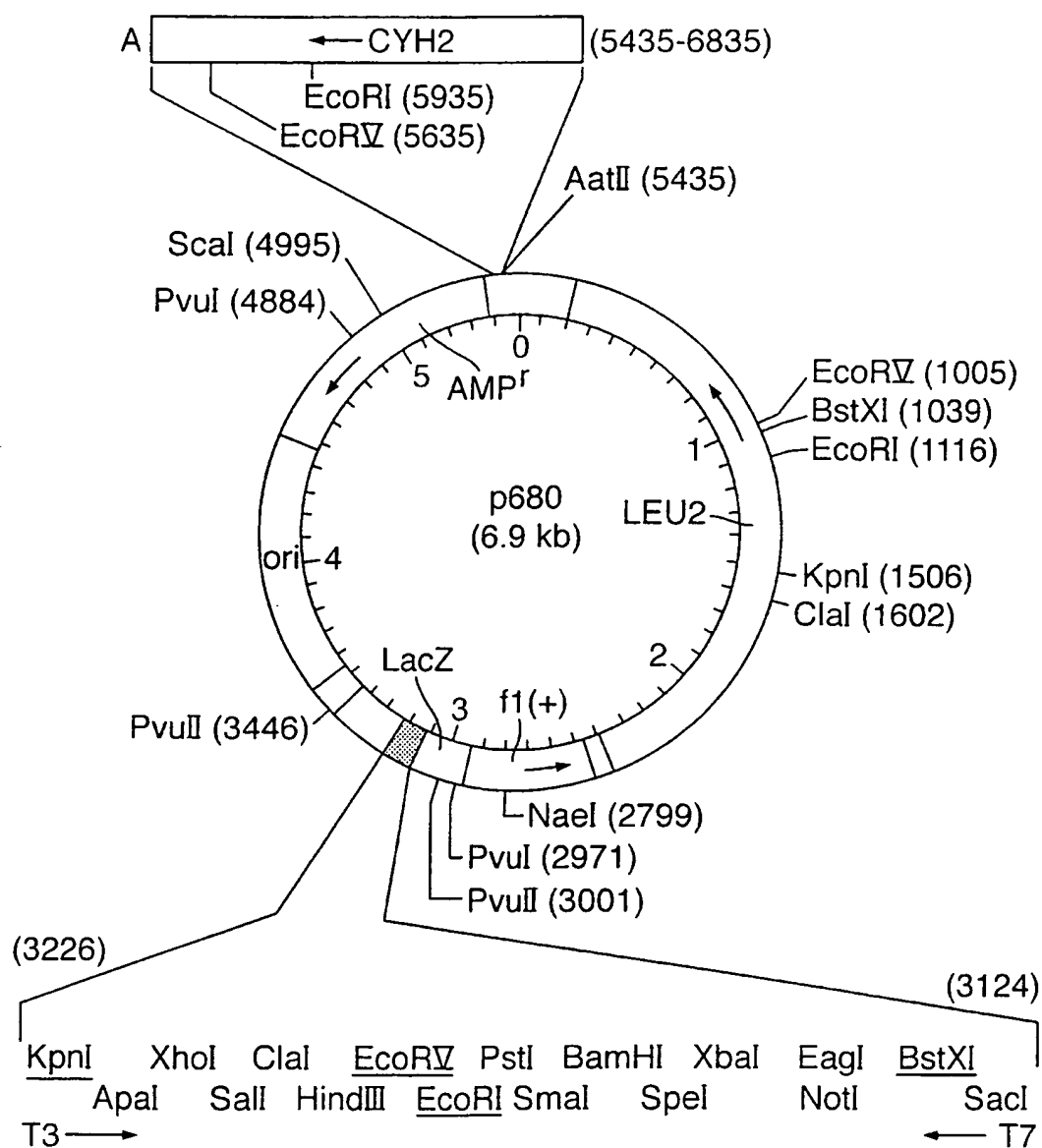
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Fig.6.



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Fig.7.





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Fig.8(A).

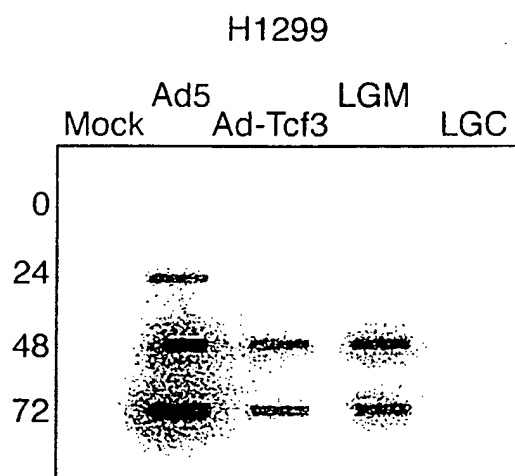
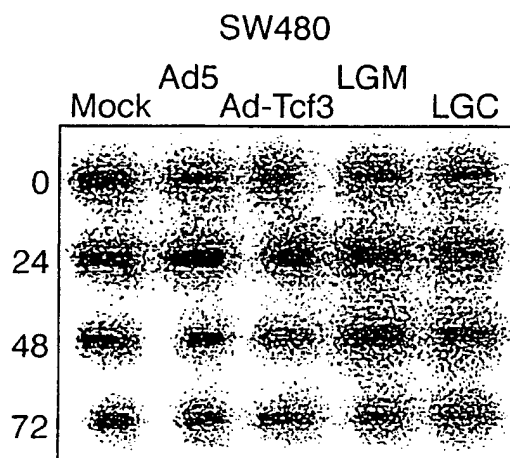
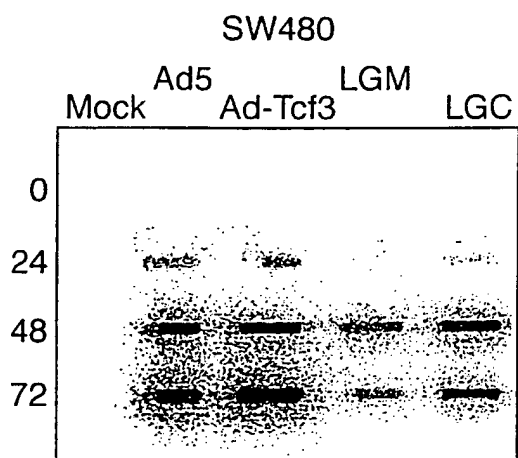
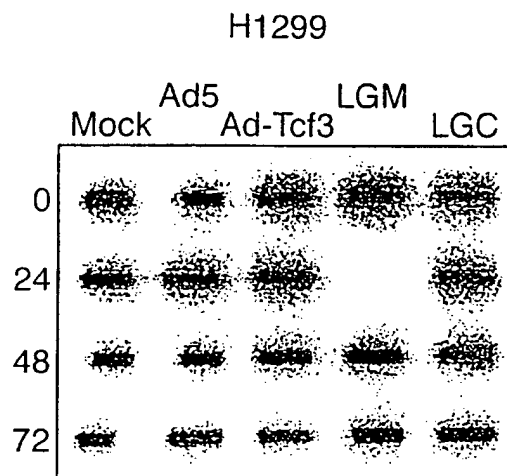
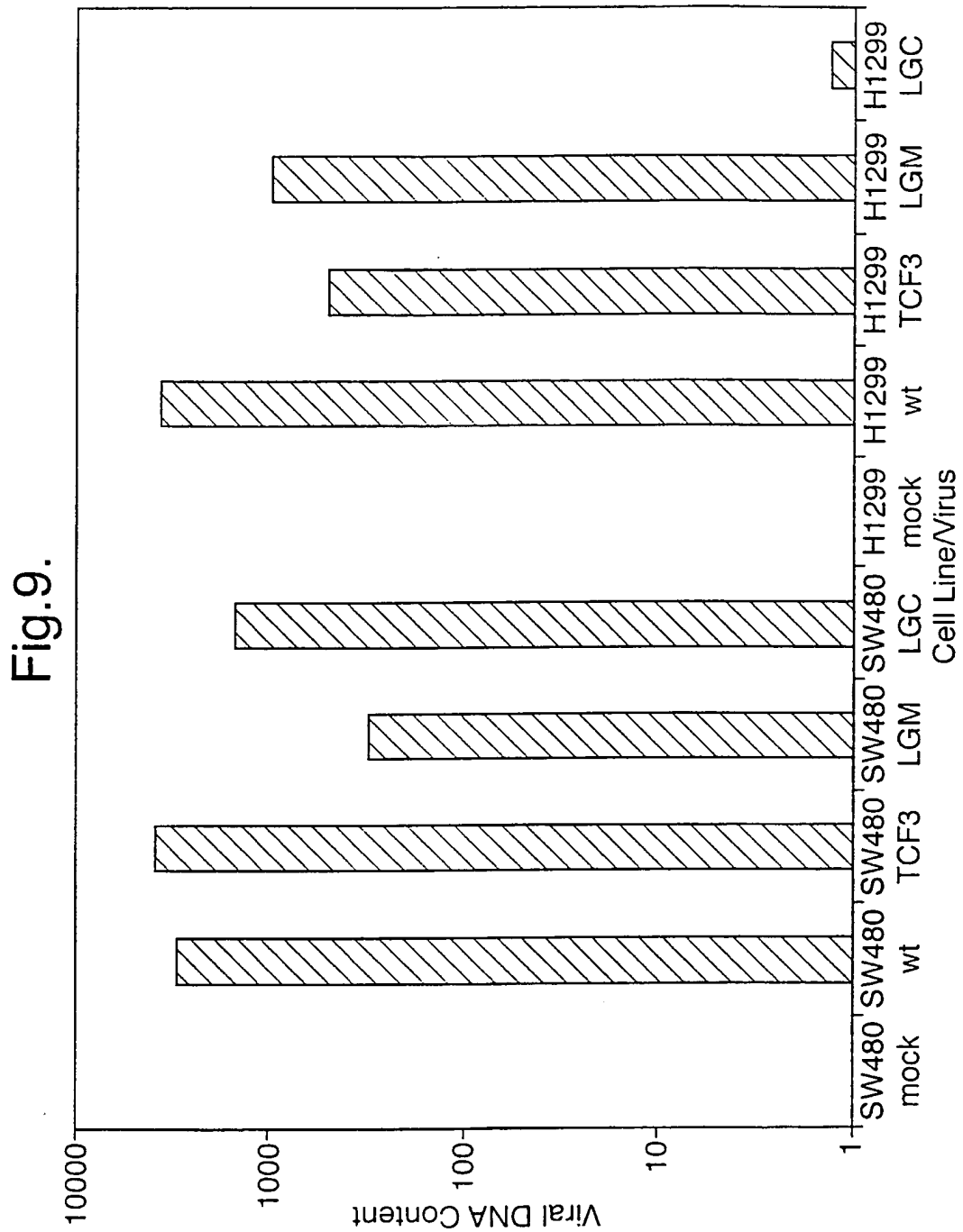


Fig.8(B).



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Fig.10.

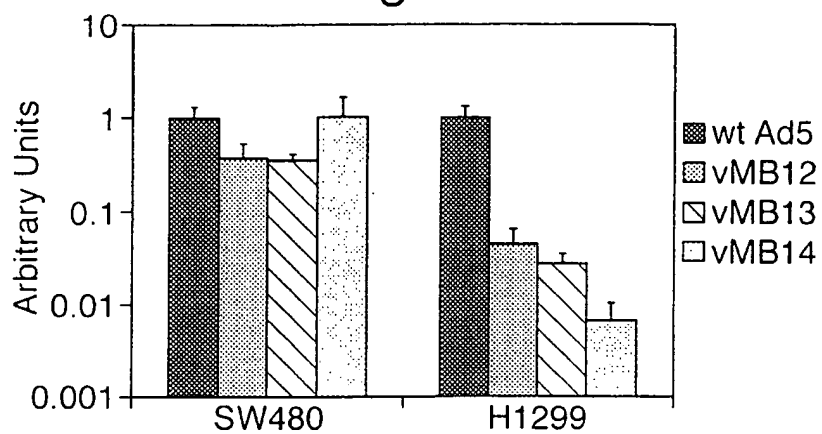
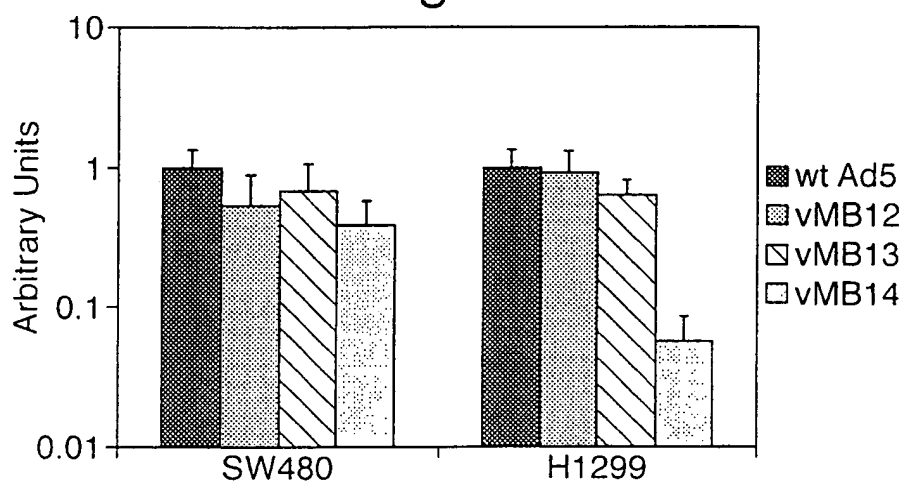


Fig.11.



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Fig.12.

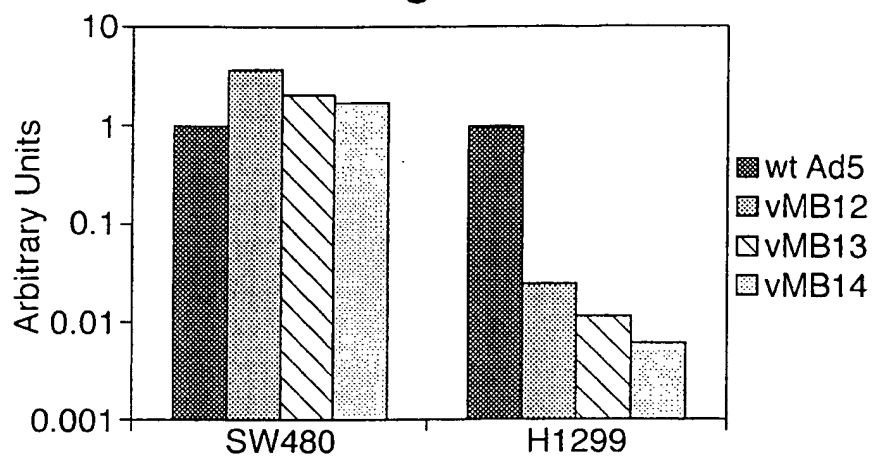
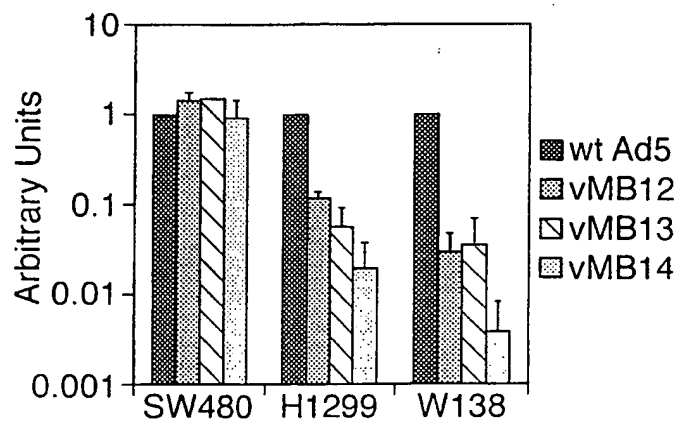


Fig.13.



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Fig.14.

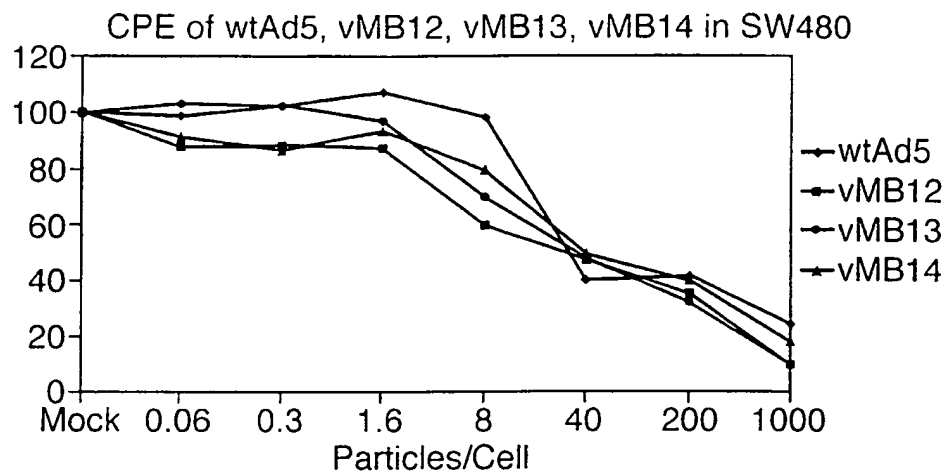
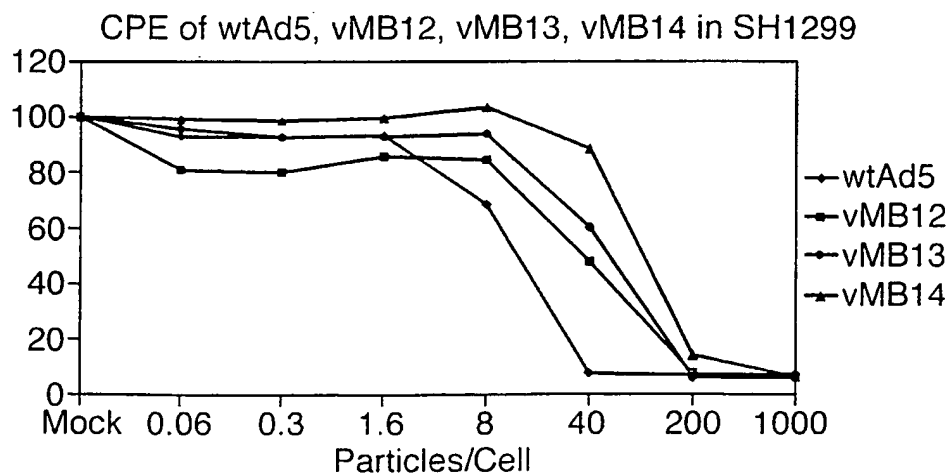


Fig.15.



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Fig.16.

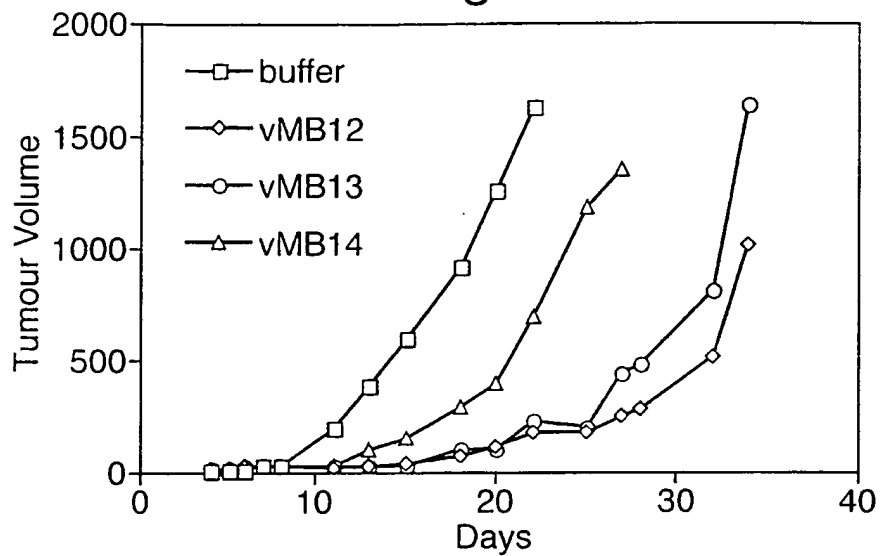
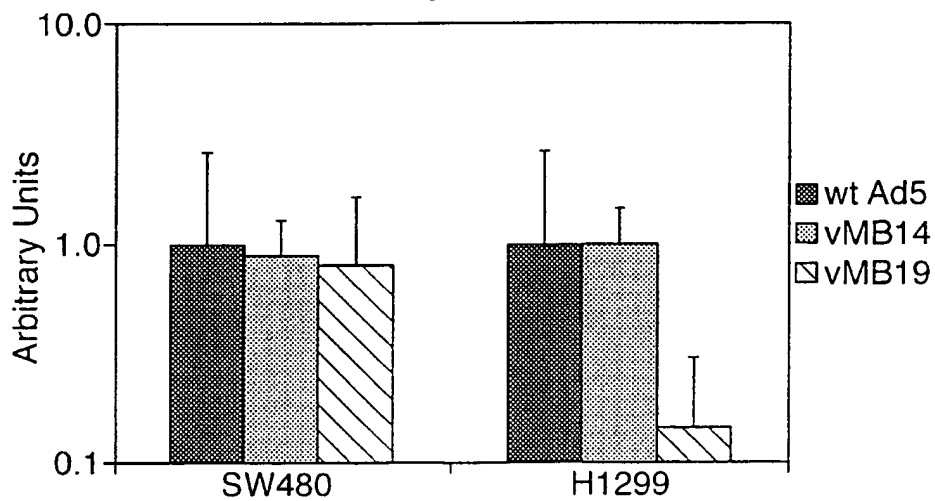


Fig.17.



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Fig.18.

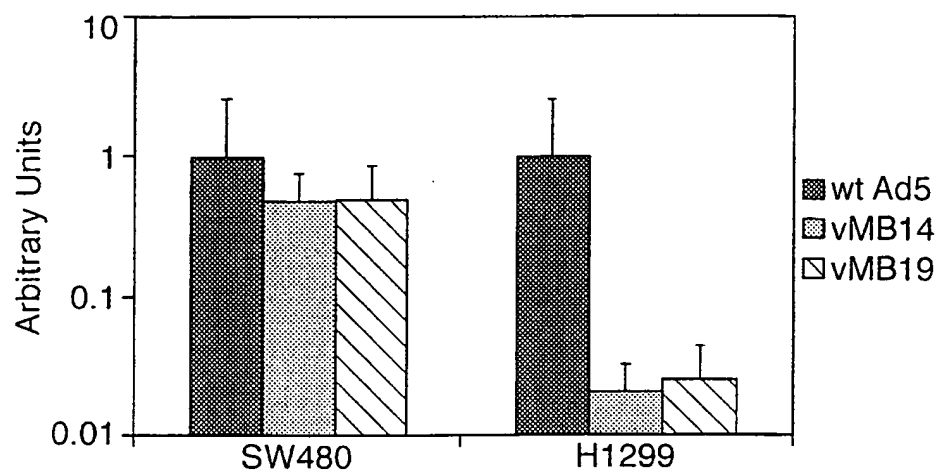
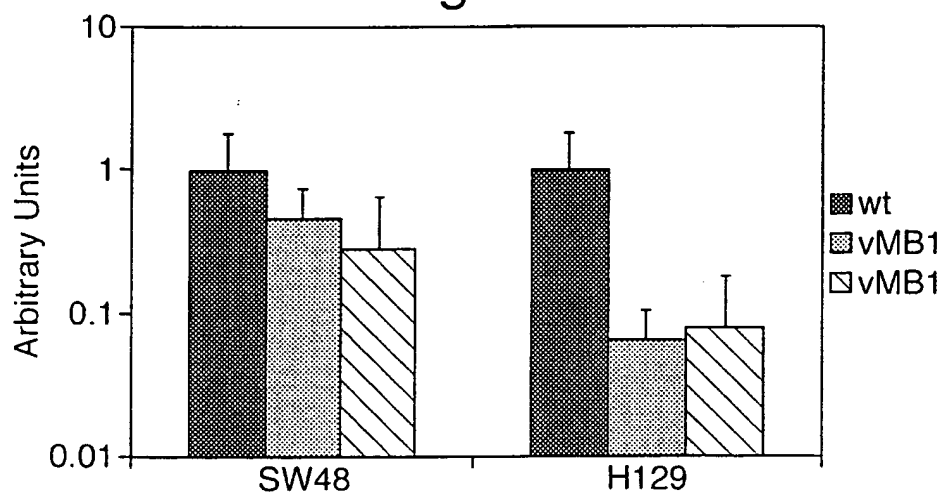
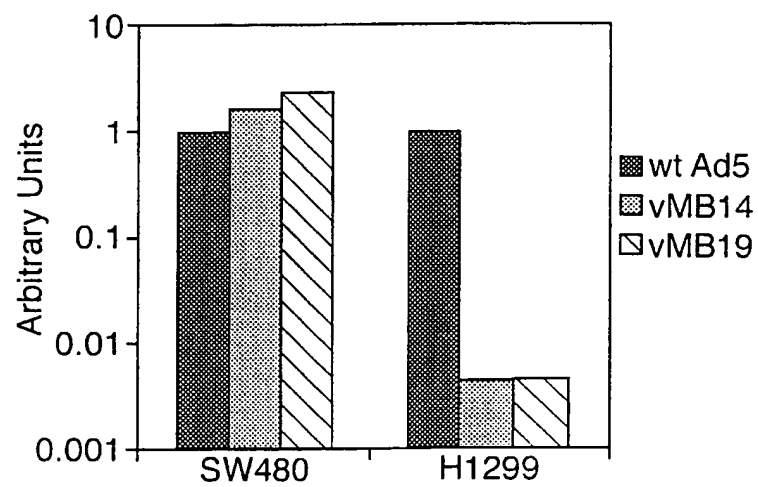


Fig.19.



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Fig.20.





[illegible]

## SEQUENCE LISTING

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 BRUNORI DR, MICHELE A

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&lt;212&gt; PRT

&lt;213&gt; ADENOVIRUS VR5

&lt;400&gt; 6

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 1 5 10 15

Leu Trp Thr Ser Ala Tyr Leu Arg Lys Phe Val Pro Glu Asp Tyr His  
 20 25 30

Ala His Glu Ile Arg Phe Tyr Glu Asp Gln Ser Arg Pro Pro Asn Ala  
 35 40 45

Glu Leu Thr Ala Cys Val Ile Thr Gln Gly His Ile Leu Gly Gln Leu  
 50 55 60

Gln Ala Ile Asn Lys  
 65

&lt;210&gt; 7

&lt;211&gt; 180

&lt;212&gt; DNA

&lt;213&gt; ADENOVIRUS VR5

&lt;400&gt; 7

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 atgcgcgctg ggctaatactt ggttacatct gacctcatgg aggcttggga gtgtttggaa 180

&lt;210&gt; 8

&lt;211&gt; 314

&lt;212&gt; DNA

&lt;213&gt; Homo sapiens

&lt;400&gt; 8

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 ccttccagct cgcctctc cgcgcggacc cgcgccgctc ccgaccctc ccgggtcccc 180  
 ggcccagccc cctccggggc tcccagcccc cccctttcct ttcgcgggca ccgcccctc 240  
 ctgcgcgctg gagtttcagg cagcgtgctg tctgctgctg cacgtgggaa gccctggccc 300  
 cgccaccccc cgcg 314

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&lt;211&gt; 22

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<210> 10

<211> 20

<212> DNA

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<220>

<223> Description of Artificial Sequence: Primer

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<210> 11

<211> 41

<212> DNA

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<211> 43

<212> DNA

<213> Artificial Sequence

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43

<210> 13

<211> 21

<212> DNA

<213> Artificial Sequence

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<223> Description of Artificial Sequence: Primer

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21

<210> 14

<211> 21

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<223> Description of Artificial Sequence: Primer

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<210> 15

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<212> DNA

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<210> 16

<211> 31

<212> DNA

<213> Artificial Sequence

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<211> 21

<212> DNA

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<210> 18

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<211> 28

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<210> 24

<211> 21

<212> DNA

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<223> Description of Artificial Sequence: Primer

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<210> 25

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<212> DNA

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<220>

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<210> 32

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<210> 33

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<223> Description of Artificial Sequence: Tagman oligo

<400> 33

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<210> 34  
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<210> 35  
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<210> 36  
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<220>  
<223> Description of Artificial Sequence: Taqman oligo

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<210> 39  
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<220>  
<223> Description of Artificial Sequence: Taqman oligo

<400> 39  
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<210> 40  
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<212> DNA  
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<223> Description of Artificial Sequence: Taqman oligo

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<210> 41  
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<212> DNA

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<223> Description of Artificial Sequence: Taqman oligo

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<210> 43

<211> 21

<212> DNA

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<220>

<223> Description of Artificial Sequence: Taqman oligo

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<210> 44

<211> 23

<212> DNA

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<220>

<223> Description of Artificial Sequence: Taqman oligo

<400> 44

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<210> 45

<211> 23

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: Taqman oligo

<400> 45

gggatttgtag tttggtgcat tag

23

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/01142

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/86 A61K35/76 C12N7/00 C12N15/34 C07K14/075

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 39464 A (LAMPARSKI HENRY G ;CALYDON INC (US); YU DE CHAO (US); HENDERSON DA) 11 September 1998 (1998-09-11) page 9, line 28 - line 32	1-4,6-9, 14,18, 20-36
Y	page 13, line 22 -page 15, line 19 page 17, line 8 - line 31 page 29, line 5 - line 12 page 36, line 11 - line 12 page 47, line 27 -page 49, line 4 page 73, line 1 -page 74, line 3	5,10-13
Y	YOSHIDA, Y. ET AL.: "Generation of fibre-mutant recombinant adenoviruses for gene therapy of malignant glioma." HUMAN GENE THERAPY, vol. 9, 1998, pages 2503-15, XP000929748 the whole document	5

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

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"E" earlier document but published on or after the international filing date

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

7 August 2000

Date of mailing of the international search report

23/08/2000

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Smalt, R

## INTERNATIONAL SEARCH REPORT

Int .lational Application No

PCT/GB 00/01142

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Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 98 41631 A (UNIV UTRECHT ;UNIV JOHNS HOPKINS (US)) 24 September 1998 (1998-09-24) the whole document ---	10-13
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Y	DACHS, G.U. ET AL.: "Targeting gene expression to hypoxic tumor cells." NATURE MEDICINE, vol. 3, no. 5, May 1997 (1997-05), pages 515-20, XP002144345 the whole document ---	10
Y	AOKI, T. ET AL.: "Expression of the RAG-2 gene in murine central nervous system tumor cell lines." BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, vol. 181, no. 1, 27 November 1997 (1997-11-27), pages 151-8, XP002144346 abstract; figure 1 page 156, line 1 - line 2 page 156, line 7 - line 8 page 157, line 11 - line 12 ---	10
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In International Application No

PCT/GB 00/01142

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A	KORNUC, M. ET AL.: "Adenovirus early region 3 promoter regulation by E1A/E1B" JOURNAL OF VIROLOGY, vol. 64, no. 5, 1990, pages 2004-13, XP000929781 the whole document	14
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A	WO 98 35028 A (BAXTER INT) 13 August 1998 (1998-08-13) page 6, line 24 - page 8, line 5 page 17, line 25 - line 32	
A	WO 98 13508 A (DANA FARBER CANCER INST INC ;KAELIN WILLIAM JR (US); FINE HOWARD A) 2 April 1998 (1998-04-02) the whole document	
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Information on patent family members

International Application No

PCT/GB 00/01142

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